



Relationship between rock uniaxial compressive strength and digital core drilling parameters and its forecast method

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Abstract The rock uniaxial compressive strength (UCS) is the basic parameter for support designs in underground engineering. In particular, the rock UCS should be obtained rapidly for underground engineering with complex geological conditions, such as soft rock, fracture areas, and high stress, to adjust the excavation and support plan and ensure construction safety. To solve the problem of obtaining real-time rock UCS at engineering sites, a rock UCS forecast idea is proposed using digital core drilling. The digital core drilling tests and uniaxial compression tests are performed based on the developed rock mass digital drilling system. The results indicate that the drilling parameters are highly responsive to the rock UCS. Based on the cutting and fracture characteristics of the rock digital core drilling, the mechanical analysis of rock cutting provides the digital core drilling strength, and a quantitative relationship model (CDP-UCS model) for the digital core drilling parameters and rock UCS is established. Thus, the digital core drilling-based rock UCS forecast method is proposed to provide a theoretical basis for continuous and quick testing of the surrounding rock UCS.

Keywords Digital core drilling · Mechanical analysis · Rock UCS · Quantitative relationship model · Forecast method

List of symbols

UCS	Uniaxial compressive strength (MPa)	t	Drilling time (min)
DCS	Digital core drilling strength (MPa)	λ	Response evaluation index of M to the rock UCS (N m/MPa)
V	Drilling rate (mm/min)	H	Instantaneous cutting depth (mm)
N	Rotating speed (r/min)	M_c	Cutting torque (N m)
F	Drilling thrust (kN)	F_c	Resistance from the front rock on the cutting edge (N/mm)
M	Drilling torque (N m)	M_f	Friction torque (N m)
h	Drilling depth (mm)	F_f	Force from the rock at the bottom of the hole on the cutting edge (N/mm)
		R	Radius of the special digital core drilling bit (mm)
		l	Length of each row of the cutting edge (mm)
		α	Angle between the force F_c and the normal direction of the cutting edge surface (°)
		θ	Inclination angle of the cutting edge (°)
		β	Angle between the force F_f and the vertical direction (°)

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1 Introduction

The rock uniaxial compressive strength (UCS) is an indispensable parameter in rock mass stability analysis, underground chamber excavation, and support design for transportation, mines, and hydraulic projects. Accurate acquisition of the UCS is a precondition for engineering construction. In particular, the rock UCS should be obtained rapidly for underground engineering with complex geological conditions, such as soft rock, fracture areas, and high stress (Cao et al. 2016; Wang et al. 2017b, 2018, 2019, 2020a, b; Yang et al. 2017) to adjust the excavation and support plan and ensure construction safety. The conventional rock uniaxial compression test is a common method to measure the rock UCS (Chen et al. 2017; Liu et al. 2018; Meng et al. 2016; Xu and Dai 2017). However, on-site core drilling and laboratory testing is required, which is a cumbersome process with long measurement periods. Thus, the surrounding rock UCS at a construction site cannot be obtained in real-time, and effectively obtaining rock core specimens for UCS measurements from the fractured surrounding rock is difficult. Therefore, an in situ test method to measure the surrounding rock UCS in engineering sites in real-time is needed.

The point load method (Heidari et al. 2012; Kaya and Karaman 2016; Ozturk and Altinpinar 2017) and Schmidt hammer testing (Goktan and Gunes 2005; Wang et al. 2017a) are commonly used in situ methods to measure rock strength. However, the surrounding rock needs to be core drilled to obtain the rock strength for these methods. Some researchers have investigated in situ rock UCS forecasting based on regression analysis or artificial neural networks (Dehghan et al. 2010; Moradian and Behnia 2009; Sharma et al. 2017; Tiryaki 2008; Torabi-Kaveh et al. 2015; Yesiloglu-Gultekin et al. 2013). The majority of previous in situ rock strength testing methods only support measurements of the rock UCS at a limited number of points. The UCS from a chamber surface to the surrounding rock at a considerable depth does not enable continuous measurements. Therefore, developing a continuous and quick test method for the rock strength parameters in situ has become the key focus of geotechnical test technology research.

This analysis reveals that drilling is required in the majority of surrounding rock strength parameter testing methods. Quick in situ measurements of rock strength will be achieved if the rock UCS is obtained during drilling. Digital drilling test technology (Ersoy 2003; Gui et al. 2002; Munoz et al. 2016; Sugawara et al. 2003; Yue et al. 2004) provides an effective means to support real-time monitoring of drilling parameters, including the rate, thrust,

rotating speed, and torque. Studies by several researchers have indicated that the digital drilling parameters and rock UCS are correlated (Aalizad and Rashidinejad 2012; Ataei et al. 2015; Fattahi and Bazdar 2017; Kumar et al. 2011; Li and Itakura 2012; Yaşar et al. 2011).

The drilling parameters and rock core at the corresponding positions can be obtained during digital core drilling of the surrounding rock. The rock UCS from laboratory testing is compared with the forecasted value from the drilling parameters. A quantitative relationship model for the drilling parameters and rock UCS is continuously modified to form the rock UCS digital core drilling real-time acquisition method. Therefore, this surrounding rock digital core drilling test provides a new approach for the continuous and real-time acquisition of rock UCS on site. The key to this approach is establishing a quantitative relationship between the digital core drilling parameters and the rock UCS.

To achieve real-time acquisition of the rock UCS, digital core drilling tests and uniaxial compression tests are conducted on cement mortar specimens of varying strengths and sandstone specimens to determine the drilling parameters and UCS. These tests are based on the rock mass digital drilling test system and a specially developed digital core bit. The mechanical analysis of rock cutting is performed to obtain the digital core drilling strength (DCS). A quantitative relationship model (CDP-UCS model) between the digital core drilling parameters and rock UCS is generated for the proposed digital core drilling-based rock UCS forecast method.

2 Digital core drilling test

2.1 Test equipment

The rock digital core drilling test is based on a rock mass digital drilling system that was developed by the authors, as shown in Fig. 1. This test equipment includes the drilling system, the loading system, the pressure chamber, and the monitoring and control system with a maximum drilling thrust and rotating speed of 50 kN and 400 r/min, respectively. The overall dimensions of the main device are 1750 mm × 2350 mm × 4335 mm. During drilling, the system supports real-time monitoring and control of the drilling parameters, including the drilling rate V (mm/min), rotating speed N (r/min), drilling thrust F (kN), and drilling torque M (N m). Thus, four control modes of constant $V-N$, constant $V-M$, constant $F-N$, and constant $F-M$ are formed, and rock digital drilling tests under multiple control modes are realized.

A specially designed PDC (Polycrystalline Diamond Compact) bit for digital core drilling is used, which



Fig. 1 Rock mass digital drilling system

consists of the rectangular PDC and matrix, as shown in Fig. 2. The rock cutting mechanical analysis of the drill bit conforms to the theoretical hypothesis, and the rectangular compact design ensures that the shape and stress characteristics remain unchanged to reduce the impact of compact wear on the test data, even if the compact wears during drilling.

2.2 Test plan design

The specimens in the digital drilling test include cement mortar specimens with various strengths and sandstone specimens. The sandstone specimens are made from relatively intact natural rock, and the cement mortar specimens are prepared based on seven mix ratios to simulate intact rock of different strengths, as listed in Table 1. Three groups are prepared for each type of test specimen, giving a

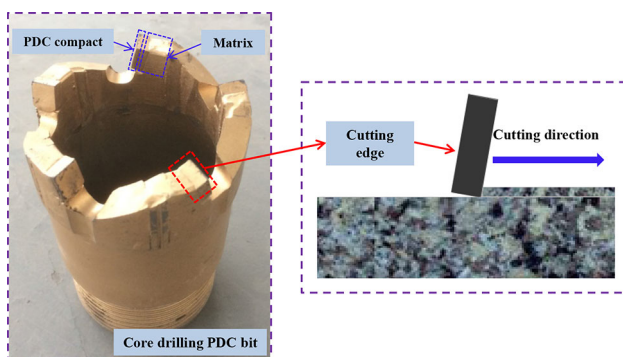


Fig. 2 Special PDC bit for digital core drilling

Table 1 Material consumption of making cement mortar specimens with various strength grades per m³

Strength grade	Cement (kg)	Cement strength grade	River sand (kg)	Water (kg)
S1	210	32.5	1450	300
S2	260			
S3	200	42.5		
S4	360			
S5	410			
S6	460			
S7	700			

total of 24 groups. The specimen dimensions are as follows: length × width × height = 150 mm × 150 mm × 200 mm.

The digital core drilling test controls the drilling rate V and rotating speed N while monitoring the thrust F and torque M . The V and N are set to one of two levels: 60 or 85 mm/min and 50 or 100 r/min, respectively. The cement mortar specimens with seven strength grades are numbered as S1–S7, and the sandstone specimen is numbered as S8. The core drilling depth of the test specimen is 150 mm. After the drilling tests, the rock core is collected, cut, and polished to prepare the conventional specimens for the UCS measurements, which is based on the “Standard Test Methods for Compressive Strength and Elastic Moduli of Intact Rock Core Specimens under Varying States of Stress and Temperatures” (ASTM Standard Designation: D7012–14). The dimensions of the prepared rock core specimens are diameter × height = 50 mm × 100 mm. The detailed test plan is given in Table 2.

3 Statistics and analysis of test results

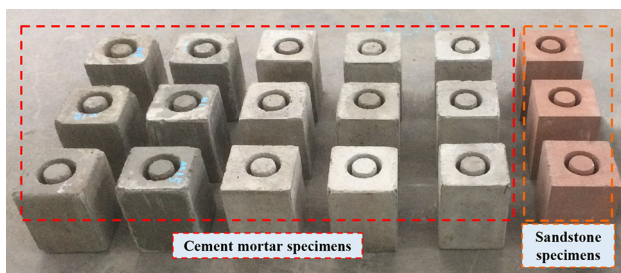
3.1 Statistics of drilling parameter results

The rock digital core drilling tests are performed based on the test plan in Table 2. The specimens before and after the tests are shown in Fig. 3. During the digital core drilling test, the V , N , M , and F are monitored in real-time. Typical test data from specimen S53 are taken as an example, and the drilling parameter curves are shown in Figs. 4 and 5, where $V = h/t$, h is the drilling depth and t is the drilling time.

As shown in Fig. 4, the V and N for drilling specimen S53 stabilize at the predefined values of 85 mm/min and 100 r/min, respectively, which give the desired control results. As shown in Fig. 5 for the drilling specimen S53, the trends of F and M with h are similar. As the drilling depth increases, F and M rapidly increase at the initial stage and then stabilize and fluctuate over a small range.

Table 2 Digital core drilling test plan

No. of specimens	V (mm/min)	N (r/min)	No. of specimens	V (mm/min)	N (r/min)		
S1	S11	60	50	S2	S21	60	50
	S12	60	100		S22	60	100
	S13	85	100		S23	85	100
S3	S31	60	50	S4	S41	60	50
	S32	60	100		S42	60	100
	S33	85	100		S43	85	100
S5	S51	60	50	S6	S61	60	50
	S52	60	100		S62	60	100
	S53	85	100		S63	85	100
S7	S71	60	50	S8	S81	60	50
	S72	60	100		S82	60	100
	S73	85	100		S83	85	100

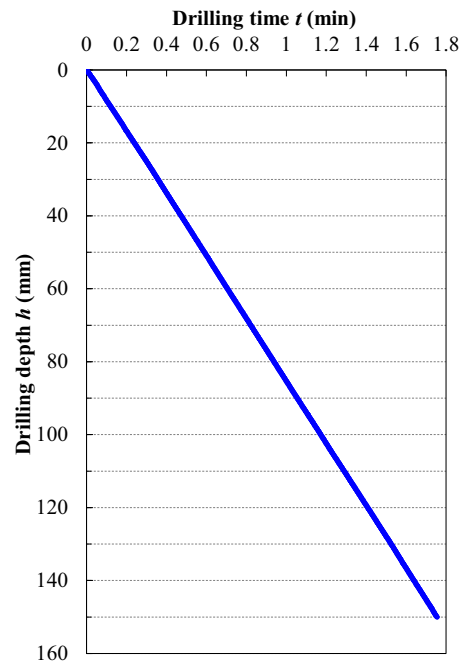
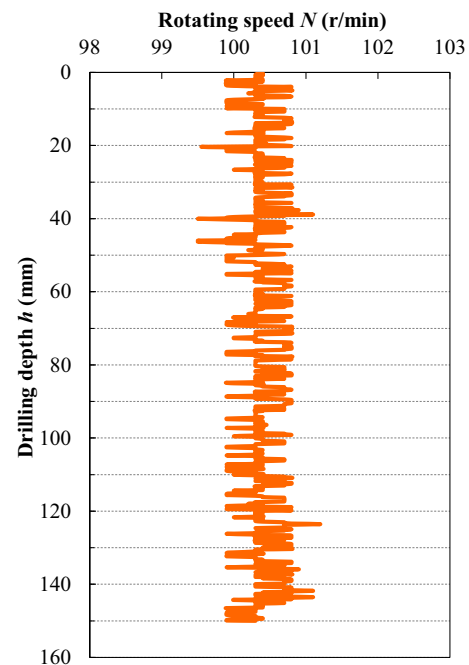
**Fig. 3** Partial digital core drilling test specimens

The F and M test values are the averages of the stable segment minus their initial values. The M measurement results from specimen S53 are taken as an example. The average value in the stable segment is $M_a = 33.99$ N m with an initial value of $M_i = 17.0$ N m. Then, the test value of this specimen becomes $M = M_a - M_i = 16.99$ N m. The monitoring results of V , N , F , and M for all specimens are counted, and the standard rock UCS of the test specimen is measured. The detailed results are given in Table 3.

3.2 Analysis of responses for M and F to rock UCS

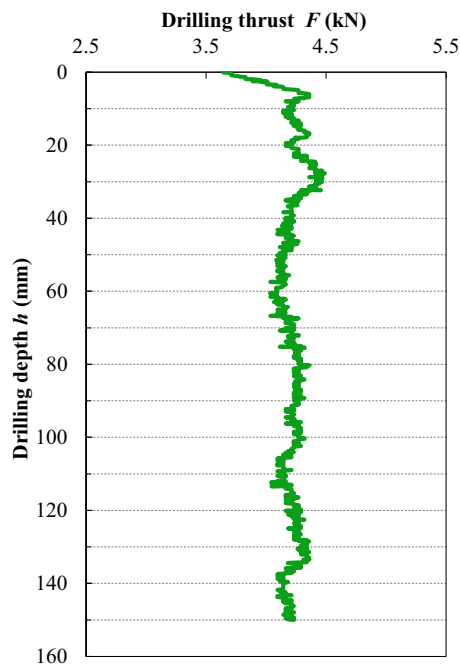
Based on the test data in Table 3, a V of 60 mm/min and N of 100 r/min are taken as an example, the response laws for M and F to the rock UCS are analyzed, as shown in Figs. 6 and 7.

The analysis of Figs. 6 and 7 shows that the variation laws for M and the F to the rock UCS are consistent. Both laws demonstrate an overall increasing trend for a larger rock UCS. Therefore, intuitively analyzing the response degrees of the drilling parameters to the rock UCS allows using the drilling torque M as an example to generate λ ,

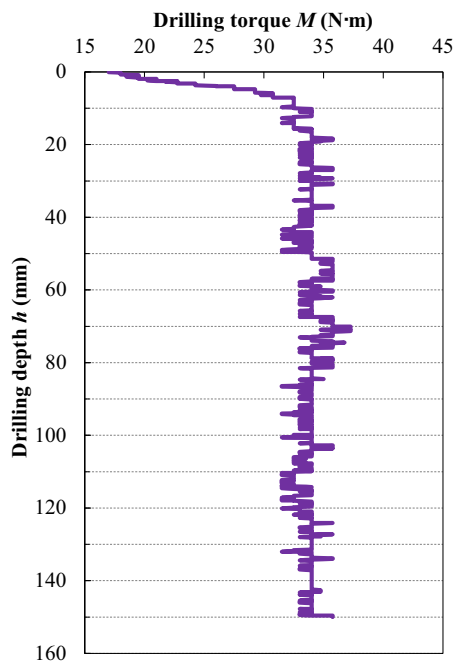
**(a)** Drilling depth h versus drilling time t **(b)** Rotating speed N versus drilling depth h **Fig. 4** Analysis curves of controlled drilling parameters

which is the response evaluation index of M to the rock UCS.

$$\lambda = \frac{|\Delta M|}{|\Delta \text{UCS}|} \quad (1)$$



(a) Drilling thrust F versus drilling depth h



(b) Drilling torque M versus drilling depth h

Fig. 5 Analysis curves of monitored drilling parameters

where, ΔM is the difference between monitored drilling torques M in two plans, N m; ΔUCS is the difference between measured rock UCS in two plans, MPa.

Taking specimens S12 and S22 with small strength differences and specimens S72 and S82 with significant

Table 3 Statistics of digital core drilling test and uniaxial compression test results for test specimens

No. of specimens	V (mm/min)	N (r/min)	M (N m)	F (kN)	UCS (MPa)
S11	60.05	50.94	3.71	0.31	1.56
S12	60.06	100.34	2.34	0.19	1.85
S13	85.19	100.43	3.49	0.26	2.03
S21	60.08	50.89	5.74	0.32	2.83
S22	60.21	100.36	4.15	0.30	3.41
S23	84.67	100.35	5.38	0.43	2.83
S31	59.48	50.96	6.24	0.34	7.10
S32	59.60	100.50	4.27	0.27	7.11
S33	86.07	100.43	3.57	0.24	7.30
S41	60.12	50.89	17.12	0.87	11.82
S42	59.89	100.39	10.62	0.76	11.38
S43	85.80	100.40	12.08	0.55	11.83
S51	59.93	50.95	20.08	0.89	18.37
S52	60.22	100.37	12.96	0.77	18.87
S53	85.89	100.41	16.99	0.71	19.95
S61	59.89	50.94	25.20	1.11	19.82
S62	60.19	100.35	15.83	0.85	20.66
S63	85.74	100.39	20.98	0.91	21.98
S71	59.53	51.03	43.25	1.94	35.22
S72	59.51	100.57	22.75	1.19	35.87
S73	85.60	100.44	33.50	1.34	36.05
S81	60.35	50.79	66.85	2.29	48.35
S82	61.29	100.33	40.25	1.85	49.80
S83	83.87	100.29	56.50	2.11	55.68

strength differences as examples, the λ between specimens S12 and S22 is 1.15 N m/MPa, while that between specimens S72 and S82 is 1.26 N m/MPa. The difference value in the responses of M to the UCS is relatively small for plans with significant or small strength differences. Therefore, the monitored drilling parameters during rock drilling are highly responsive to the UCS.

4 Quantitative relationships between drilling parameters and rock UCS

4.1 Mechanical analysis of rock cutting

The aforementioned response laws of the core drilling parameters to the UCS indicate that rock UCS forecasting is feasible based on the digital core drilling parameters. To establish a quantitative relationship between the core drilling parameters and the UCS, the monitored drilling parameters V , N , M , and F are comprehensively applied to reduce the discreteness of using a single type of drilling parameter. Additionally, to reveal the in-depth rock cutting

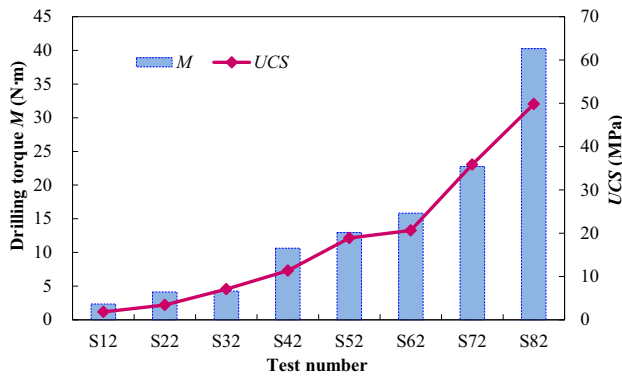


Fig. 6 Analysis of response of drilling torque M to rock UCS

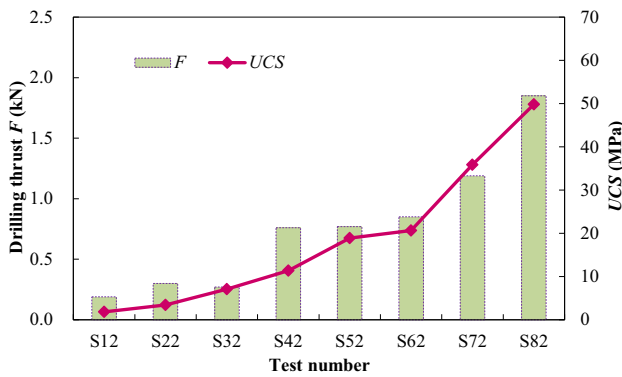


Fig. 7 Analysis of response of drilling thrust F to rock UCS

mechanism of the special digital core bit, a rock cutting mechanical analysis model is created based on the rock cutting failure characteristics, as shown in Fig. 8. As the length of each row of cutting edges greatly exceeds the rock cutting depth over one rotation by a single row of cutting edges (i.e., instantaneous cutting depth H), the cutting edge is treated as linear motion over each rock cutting cycle, and the rock cutting problem is simplified to a planar strain problem.

The rock cutting mechanical model in Fig. 8 is used to calculate the required torque M for the drill bit to cut rock. This torque contains the cutting torque M_c as generated by the horizontal component force of the resistance F_c from the front rock on the cutting edge and the friction torque M_f generated by the horizontal component of the force F_f from the rock at the bottom of the hole on the cutting edges.

As shown in Fig. 9, the radius of the special digital core drilling bit in the test is $R = 37.5$ mm. The bit contains $n = 5$ rows of cutting edges, and the length of each row of the cutting edges is $l = 9$ mm. The torque generated by the force on an arbitrary microsegment dr of the cutting edge is:

$$dM = dM_c + dM_f = F_c r \cos(\theta + \alpha) dr + F_f r \sin \beta dr \quad (2)$$

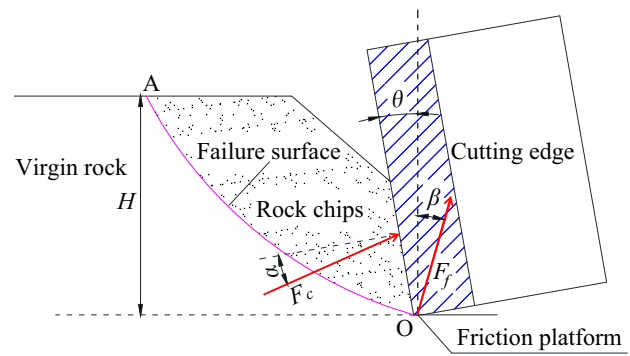


Fig. 8 Rock cutting mechanical analysis model for digital core drilling

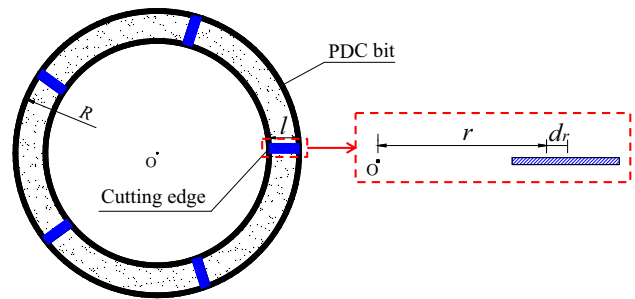


Fig. 9 Special digital core bit and a row of cutting edges

where, α is the angle between the force F_c and the normal direction of the cutting edge surface, θ is the inclination angle of the cutting edge in the special digital core drilling bit ($\theta = 15^\circ$), and β is the angle between the force F_f and the vertical direction, which is also the friction angle between the cutting edge and the rock. Based on the research results of Huang et al. (2013) and Yahiaoui et al. (2016), α and β are set to 12° .

The moments for all the cutting edges of the special digital core bit are superimposed to obtain the drilling torque M as:

$$M = n \int_{R-l}^R [F_c \cos(\theta + \alpha) + F_f \sin \beta] r dr \quad (3)$$

$$= nl(R - \frac{l}{2}) [F_c \cos(\theta + \alpha) + F_f \sin \beta]$$

The drilling thrust F is equal to the sum of the vertical components of the forces F_c and F_f , as shown in Fig. 8. As shown in Fig. 9, the vertical force dF on an arbitrary microsegment dr of the cutting edges is:

$$dF = dN_c + dN_f = F_c \sin(\theta + \alpha) dr + F_f \cos \beta dr \quad (4)$$

The vertical forces for all rows of cutting edges in the special digital core bit are superimposed to obtain the drilling thrust F as:

Table 4 Statistics of the average DCS and average UCS results for test specimens

No. of specimens	DCS (MPa)	Average DCS (MPa)	Average UCS (MPa)	No. of specimens	DCS (MPa)	Average DCS (MPa)	Average UCS (MPa)	
S1	S11	5.52	6.99	1.81	S2	12.55	12.96	
	S12	7.13			S21			14.45
	S13	8.32			S22			11.87
S3	S31	14.00	13.44	7.17	S4	39.52	39.29	
	S32	16.98			S41			37.57
	S33	9.33			S42			40.77
S5	S51	49.85	54.25	19.07	S6	62.77	68.31	
	S52	53.39			S61			69.72
	S53	59.50			S62			72.43
S7	S71	107.68	110.23	35.71	S8	181.13	193.88	
	S72	103.15			S81			189.20
	S73	119.84			S82			211.31

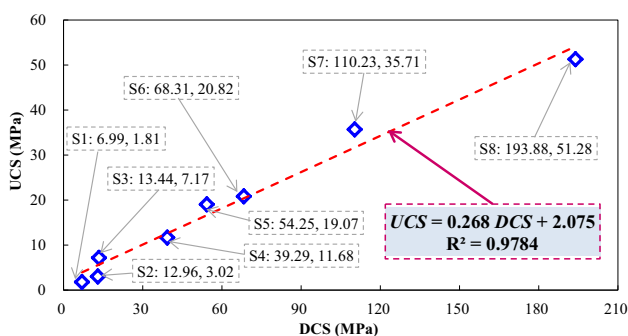


Fig. 10 Scatter diagram of relational analysis for rock DCS and UCS

$$F = n \int_{R-l}^R [F_c \sin(\theta + \alpha) + F_f \cos \beta] dr \tag{5}$$

$$= nl [F_c \sin(\theta + \alpha) + F_f \cos \beta]$$

Equations (3) and (5) are combined to eliminate the unknown force F_f :

$$M = nl(R - \frac{l}{2}) \left[F_c \cos(\theta + \alpha) - F_c \sin(\theta + \alpha) \tan \beta + \frac{F \tan \beta}{nl} \right] \tag{6}$$

Then, the cutting force F_c' from the cutting edge on the front rock is:

$$F_c' = F_c = \frac{2M - F(2R - l) \tan \beta}{nl(2R - l)[\cos(\theta + \alpha) - \sin(\theta + \alpha) \tan \beta]} \tag{7}$$

The digital core drilling strength (DCS), whose physical significance is the cutting force of the special digital core drilling bit when cutting a unit area of rock, is obtained as:

$$DCS = \frac{F_c'}{S} = \frac{N[2M - F(2R - l) \tan \beta]}{Vl(2R - l)[\cos(\theta + \alpha) - \sin(\theta + \alpha) \tan \beta]} \tag{8}$$

where, the rock cutting area corresponding to the cutting force F_c' is $S = H \times 1$ under the assumption of a planar strain problem. The instantaneous cutting depth of rock is $H = V/nN$. Based on the rock cutting mechanical analysis, the obtained drilling parameters V , N , M , and F for each specimen are substituted into Eq. (8) to obtain the rock DCS. The average values of the DCS and UCS in the three plans for the mortar specimen and the sandstone specimen of the same grade are the average DCS and the average UCS for this grade. The detailed results are given in Table 4.

4.2 Established relational model for drilling parameters and rock UCS

A scatter plot from the relationship analysis of the rock DCS and UCS is shown in Fig. 10 based on the average values of the DCS and UCS for the test specimens in Table 4.

The analysis of Fig. 10 indicates that the rock DCS obtained from the core drilling tests increases with the rock UCS, and the two have a high degree of linear response correlation. Based on the digital core drilling test results for the sandstone and cement mortar specimens with a strength range of 1.56–55.68 MPa, the quantitative relationship formula for the rock DCS and UCS is obtained via linear regression, as shown in Eq. (9). The linear goodness of fit R^2 is 0.9784, which indicates that the rock DCS and UCS have a high degree of fit.

$$UCS = 0.268DCS + 2.075 \tag{9}$$

Based on this established linear quantitative relational formula for the rock DCS and rock UCS, Eq. (8) is substituted into (9) to obtain a quantitative relationship model (CDP-UCS model) for the digital core drilling parameters and UCS, as shown in Eq. (10).

$$UCS = 0.268 \frac{N[2M - F(2R - l) \tan \beta]}{Vl(2R - l)[\cos(\theta + \alpha) - \sin(\theta + \alpha) \tan \beta]} + 2.075 \quad (10)$$

4.3 Establishment of real-time in situ forecast method for rock UCS

The research results for the relationship between the drilling parameters and the rock UCS provide a promising concept for rock UCS forecasting. Based on the established CDP-UCS model, a digital core drilling parameter-based rock UCS forecast method is proposed. Its procedure is given as follows:

- (1) The surrounding rock digital core drilling test is performed based on a digital drilling test system for underground engineering. The drilling parameters V , N , M , and F over the entire drilling range are obtained.
- (2) The surrounding rock UCS distribution law over the entire drilling range is obtained based on the CDP-UCS model in Eq. (10).

During the application of the rock UCS forecast method, the UCS values of the rock core samples obtained from laboratory tests are compared with the forecasted values from the CDP-UCS model for verification. The CDP-UCS model is continuously modified to ensure accurate forecasts. The continuous and real-time acquisition of the surrounding rock UCS during construction can guide the design and prompt optimization of support plans based on the geological conditions to ensure construction safety.

5 Conclusions

- (1) The digital core drilling test and the uniaxial compression test are conducted on 24 groups of cement mortar specimens and sandstone specimens with various strength grades to determine the drilling parameters V , N , M , and F , which are monitored during drilling, as well as the rock UCS based on the rock mass digital drilling system and the special digital core drilling bit developed by the authors.
- (2) The response laws of the drilling torque M and the drilling thrust F to the rock UCS are analyzed. The results indicate that the variation laws for M and F to the rock UCS are consistent, and both demonstrate

an overall increasing trend for a larger rock UCS. Thus, M and F are highly responsive to the rock UCS.

- (3) Based on the rock cutting fracture characteristics of the core drilling, the mechanical analysis of rock cutting is performed to obtain the calculation model for the digital core drilling strength. The average rock DCS of the cement mortar specimens and sandstone specimens for various grades is obtained based on the drilling parameters measured in the digital core drilling tests.
- (4) A quantitative relationship model (CDP-UCS model) for the digital core drilling parameters and rock UCS is established based on the digital core drilling testing results for sandstone and cement mortar specimens with a strength range of 1.56–55.68 MPa. Thus, a digital core drilling-based rock UCS forecast method is proposed. Digital core drilling tests for intact rock with a wide strength range and weak fractured rock mass will be performed to propose a rock UCS forecast method with a wide application range. This method provides a technical solution for continuous and quick acquisitions of the surrounding rock UCS.

Authors' contributions HG: He designs the digital core drilling tests plan and writes the paper. QW: He guides the ideas of the paper and assists in writing the article. BJ: She conducts the mechanical analysis of rock cutting. PZ: He conducts the digital core drilling tests and analyzes the test data. ZJ: He assists in conducting the digital core drilling tests. YW: He assists in analyzing the test data.

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Data availability The data supporting the findings can be found in the figures, tables and manuscript.

Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

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