

# **A review of investigations on ground support requirements in coal burst‑prone mines**

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Received: 26 October 2021 / Accepted: 11 February 2022 © The Author(s) 2022

### **Abstract**

Ground support is widely implemented to mitigate dynamic rock failures in underground mines. This paper investigated the ground support requirements in burst-prone mines to mitigate the catastrophic dynamic rock failures of rock and/or coal bursts. First, the ground support principles and considerations in burst-prone conditions are identifed. The objective of a ground support system is to increase the capacity to accommodate rock fracturing in a rockburst and, in turn, to minimize the kinetic energy of the ejected material. The support capacities of various yielding rockbolts and integrated support systems are then investigated using the test results in the laboratory. Apart from the energy absorption and yielding deformation capacity, the initial stifness and energy absorption rate are also critical factors when applying yielding rockbolts in practice. Adding rope lacing and mesh strap to surface support elements can substantially enhance the support performance of the system. In practice, semi-analytical and empirical approaches are often used to determine the ground support elements in burst-prone areas. Semi-analytical methods frst evaluate the support demand in burst risk zones and then select support elements according to their laboratory test results. Alternatively, empirical methods determine the ground support elements according to the locally established empirical rating scheme, which usually ranks the support capacities of various support systems based on ground support conditions and damage conditions. The outcomes of this study can provide insights into ground support strategies and assist the mining industry to develop efective coal burst control technologies.

**Keywords** Coal burst · Dynamic ground support · Support capacity · Best-practice support design

# **1 Introduction**

Rockburst is a term used to characterize rock dynamic failure associated with a large amount of energy released in a sudden and violent manner. In a rockburst, the fragmented rock blocks are rapidly ejected into excavations. Rockburst has been regarded as a major challenge in especially deep underground excavations for decades (Ortlepp [2005;](#page-18-0) Kaiser

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and Cai [2012](#page-18-1); Jiang et al. [2014](#page-17-0); Suorineni et al. [2014;](#page-19-0) Iannacchione and Tadolini [2016;](#page-17-1) Mark [2016](#page-18-2); Zhang et al. [2017](#page-19-1); Zhou et al. [2018;](#page-19-2) Si and Belle [2019;](#page-19-3) Si et al. [2020](#page-19-4); Song et al. [2020,](#page-19-5) [2021](#page-19-6); Wei et al. [2020](#page-19-7), [2021;](#page-19-8) Cai et al. [2021](#page-17-2); Dai et al. [2021\)](#page-17-3), because it is extremely difficult to predict and control. A rockburst is commonly termed a coal burst in underground coal mining and specifcally refers to coal as the type of ejected material. It is of note that coal burst is diferent from outburst. The term outburst refers to coal ejection mainly driven by gas pressure in the coal, whereas no or minimal gas pressure is involved in a coal burst event (Hebblewhite and Galvin [2017](#page-17-4); Wei et al. [2018\)](#page-19-9). In Australia, coal bursts are relatively rare; however, the occurrence of coal bursts appears to be increasing, particularly as mine excavations go deeper. The first officially reported coal burst in Australia in 2014 caused two fatalities in a longwall gate road under construction (Hebblewhite and Galvin [2017\)](#page-17-4).

For outburst, gas drainage is one of the main control measures. For coal burst-prone mines, various destressing techniques are applied to mitigate burst risks

(Iannacchione and Zelanko [1995;](#page-17-5) Konicek et al. [2011](#page-18-3); He et al. [2012a;](#page-17-6) Dou et al. [2017](#page-17-7); Jiang et al. [2017](#page-17-8); Wei et al. [2018](#page-19-9); Zuo et al. [2019\)](#page-19-10), mainly including borehole blasting, destressing boreholes, shotfring, water infusion, and hydraulic fracturing. These destressing approaches aim to produce a relatively 'weak' zone to relieve the high stresses or to soften the system to reduce the strain energy stored around excavations. However, each of these destressing approaches has certain limitations in feld implementation (Wei et al. [2018\)](#page-19-9). In comparison, ground support is an alternative operational control that is more widely applied for burst-prone mines as it is applicable for broader geological and geotechnical underground conditions.

Ground support techniques consist of two main components: support and reinforcement (Thompson et al. [2012;](#page-19-11) Potvin and Hadjigeorgiou [2020](#page-19-12)). Support refers to the application of support load at the excavation surface through surface support elements, such as mesh and shotcrete. Reinforcement aims to strengthen the overall rock mass properties by installing support elements within the rock mass, such as installing rockbolts and cable bolts in boreholes. A ground support system refers to the application of both surface support elements and reinforcements to stabilize the excavations.

Numerous studies have investigated static and dynamic support capacities of various yielding rockbolts in laboratory tests (Li et al. [2014;](#page-18-4) Villaescusa et al. [2015;](#page-19-13) Masoudi and Sharifzadeh [2018](#page-18-5); Smith et al. [2019](#page-19-14); Sharifzadeh et al. [2020](#page-19-15); Chen and Li [2021](#page-17-9); Chen et al. [2021;](#page-17-10) Li et al. [2021](#page-18-6)). However, Cai [\(2013\)](#page-17-11) pointed out that the support capacity of the integrated support system, instead of individual support elements, should be one of the main focuses in practice due to the existence of the 'weakest link' in the system. Thus, a number of scholars conducted drop tests and simulated rockburst experiments using blasting tests to focus on the support performance of integrated support systems (Ortlepp and Stacey [1998](#page-18-7); Stacey and Ortlepp [2001;](#page-19-16) Hadjigeorgiou and Potvin [2007,](#page-17-12) [2011](#page-17-13); Heal [2010;](#page-17-14) Bucher et al. [2013](#page-17-15); Brändle et al. [2017\)](#page-17-16). The support capacities of various ground support systems are also evaluated and rated in burst-prone mines to provide empirical guidance in ground support selection (Heal et al. [2006](#page-17-17); Potvin [2009;](#page-19-17) Mikula [2012](#page-18-8); Duan et al. [2015a;](#page-17-18) NGI [2015](#page-18-9); Morissette and Hadjigeorgiou [2019\)](#page-18-10).

This study investigates the ground support requirements in coal burst-prone mines, aiming to minimize the damage caused by catastrophic dynamic failures (i.e., coal burst and rockburst) and enhance the safety of the mining workforce. Section [2](#page-1-0) evaluates and characterizes ground support principles and considerations in burst-prone conditions. Section [3](#page-1-1) assesses support capacities (e.g., energy absorption, load capacities) of various yielding rockbolts and ground support systems. Section [4](#page-9-0) reviews international best-practice

ground support systems in burst-prone coal and hard rock mines. The objectives of this study are to deepen the understanding of ground support strategies to assist the mining industry to develop efective coal burst control technologies.

# <span id="page-1-0"></span>**2 Coal burst mechanisms and the associated demand on the ground support system**

### <span id="page-1-1"></span>**2.1 Coal burst and classifcation**

Various terminologies and categorical methods have been used to classify rockbursts due to the complex rockburst mechanisms. Ortlepp and Stacey ([1994](#page-18-11)) categorized rockbursts into fve types: strain burst, buckling, face crush, shear rupture and fault-slip burst. In addition, they pointed out that rockburst mechanisms can be classifed into source mechanisms and damage mechanisms, as the rockburst and the seismic event are not necessarily one and the same event (Ortlepp [1997,](#page-18-12) [2005](#page-18-0)). Hedley ([1992](#page-17-19)) proposed a rockburst classifcation method for an underground hard rock mine, with three types of rockbursts: strain burst, pillar burst and fault-slip burst. Figure [1](#page-1-2) shows schematic illustrations of the three types of rockbursts. This concise classifcation concept was generally accepted and was further developed by other researchers (Kaiser et al. [1992](#page-18-13), [1996;](#page-18-14) Tang [2000](#page-19-18); Castro et al. [2012;](#page-17-20) Gao et al. [2019;](#page-17-21) Vardar [2019\)](#page-19-19). The buckling burst and shear rupture proposed by Ortlepp can then be grouped into strain burst and fault-slip burst, respectively (Kaiser and Cai [2012](#page-18-1)).

Strain burst usually occurs in the vicinity of highly stressed excavations, where the country rock is fracturing or spalling. The fracturing rock releases some amount of strain energy in the form of seismic waves that radiate out from the fracturing site. Strain burst occurs when a large



<span id="page-1-2"></span>**Fig. 1** Classifcation of rockbursts, after Castro et al [\(2012](#page-17-20)) and Zhou et al [\(2018](#page-19-2))

amount of strain energy rapidly converts to kinetic energy and expels the rock or coal mass into the excavations resulting in damage and/or personnel injuries (Galvin [2016](#page-17-22)). The ejection velocity can reach as high as 100 m/s (Galvin [2016](#page-17-22)), although the energy magnitude of the corresponding seismic events is relatively low compared to other types of rockbursts (Ortlepp [1997](#page-18-12), [2005\)](#page-18-0). Pillar burst refers to a sudden collapse of the whole pillar involving a violent release of a large amount of strain energy within the pillar core section (Salamon and Wagner [1979](#page-19-20); Cai and Kaiser [2018\)](#page-17-23). Several studies classifed pillar burst as strain burst since the mechanisms of the two burst types are associated with the sudden mining-induced unstable state of equilibrium, which releases a large amount of strain energy in a violent manner (Tang [2000](#page-19-18); Simon [2001](#page-19-21); He et al. [2012b](#page-17-24); Galvin [2016\)](#page-17-22), resulting in signifcant damage to rock support systems.

Both strain burst and pillar burst can be triggered by remote seismic events. The seismic waves (with seismic energy) produced by the remote seismic events can propagate to the highly stressed rock mass around excavations, resulting in rockbursts. In this scenario, the seismically triggered strain and pillar bursts are also named variously as combined rockbursts (Tang [2000](#page-19-18)), impact-induced bursts (He et al. [2012b](#page-17-24)) or triggered or induced rockbursts (Deng and Gu [2018\)](#page-17-25). For the majority of seismically triggered strain and pillar bursts, the damage level is mainly determined by the amount of strain energy stored within the surrounding rock of excavations instead of the 'trigger' seismic energy (Ortlepp [1997](#page-18-12); Galvin [2016\)](#page-17-22). However, if the magnitude of seismic energy is considerably high, the rockbursts are more conventionally called fault-slip rockbursts, where the magnitude of seismic events can reach as high as 5.0 of Richter magnitude (Ortlepp and Stacey [1994](#page-18-11)). Therefore, fault-slip bursts refer to rockbursts caused by a sudden slippage along a geological fault, or, in a broad sense, along geological discontinuities. The discontinuities mainly include joints and bedding planes within rock mass and weak contacts between geological discontinuities (e.g., fault and dyke) and the surrounding rock.

As shown in Fig. [2a](#page-2-0), for a strain burst, the ejected rock is mostly characterized as thin plates with knife-sharp edges, as the strain burst is often self-initiated resulting from excessive tangential stress around excavations. The strain energy released from the intact rock is the main contributor to the ejected rock. In comparison, for a fault-slip burst (i.e., a strain burst triggered by a remote signifcant fault-slip), seismic stress waves trigger the strain burst, although the tangential stress is not greater than the rock strength around excavations. The seismic waves also contribute to the ejected rock resulting in heavily fragmented rock pieces with a wide range of sizes. The ejected rock debris ranges from fner rock blocks to large cubic blocks (as shown in Fig. [2](#page-2-0)b), which is diferent from the shape of ejected rock in a strain burst (Li [2021](#page-18-15)). Furthermore, it is observed that the boundary of the damaged zone is often associated with sudden condition changes, such as changes of lithology, presence of weak rock contacts, and signifcant changes in support layout (Li et al [2019](#page-18-16)).

### **2.2 Ground support for burst‑prone areas**

Ground conditions can generally be divided into low-stress conditions and high-stress conditions (Li [2017a;](#page-18-17) Li et al. [2020](#page-18-18); Potvin and Hadjigeorgiou [2020](#page-19-12); Xue et al. [2020](#page-19-22); Zhang et al. [2020\)](#page-19-23). The instability of excavations at lowstress conditions is mainly related to the gravity of loosened rock blocks driven by the surrounding geological structures. The support system aims to provide enough support load to bear the deadweight. In comparison, the main task for a support system in high-stress conditions is to prevent rock failure around excavations caused by high-stress concentration (Kaiser and Cai [2012;](#page-18-1) Potvin and Hadjigeorgiou [2020](#page-19-12)).



(a) A self-initiated strain burst occurred in a hard quartzite mine with 1000 m of mining depth



(b) A strain burst triggered by a fault-slip seismicity event (i.e., fault-slip burst event) in a metal mine at 1100 m of mining depth

<span id="page-2-0"></span>**Fig. 2** Characteristics of damage zones of diferent types of rockbursts (Li [2021](#page-18-15))



<span id="page-3-0"></span>**Fig. 3** Illustration of energy transformation in a rockburst, according to Li ([2021\)](#page-18-15). Note: the energy columns are not to scale

Furthermore, the rock mass failure can be sudden and violent, resulting in rockbursts. In this scenario, the ground support system is frst required to have a stif loading capacity to provide confnement to maintain the inherent strength of the rock mass to support itself. It is also crucial to provide considerable deformation capacity to hold the bulking (failed) or ejected rock mass and its deadweight (Cai [2013;](#page-17-11) Jiang et al. [2014](#page-17-0)).

The total released energy (*W*) in a rockburst consists of released strain energy  $(W<sub>e</sub>)$  within country rock of excavations and, if any, the seismic energy  $(W<sub>s</sub>)$  produced by remote seismic events (Li [2021](#page-18-15)), as shown in Fig. [3.](#page-3-0) For supported rock mass, the total released energy can be dissipated in four ways: rock fracturing  $(W_f)$ , rock vibration and heat  $(W_v)$ , rock support system  $(W_{\rm sn})$ , and kinetic energy  $(W_k)$ of rock ejection (if support fails) (Li [2021\)](#page-18-15). The magnitude of  $W_k$  determines the intensity of a rockburst. For a given amount of total released energy, the kinetic energy of the ejected rock mass  $(W_k)$  decreases with increasing energy dissipation by rock fracturing  $(W_f)$ . The surface support elements, such as yieldable mesh (e.g., chain-link mesh) and mesh straps, can help accommodate a large amount of rock fracturing by increasing the energy dissipation in rock fracturing without support failure during rockbursts. Thus, the surface elements are an essential component of the ground support system in burst-prone areas.

For a ground support system in burst-prone areas, three main principles are well-acknowledged: use yielding support elements to increase energy absorption, address the weakest link, and establish an integrated support system (Kaiser et al. [1996;](#page-18-19) Hadjigeorgiou and Potvin [2007](#page-17-12); Potvin et al. [2010](#page-19-24); Li [2017b;](#page-18-20) Cai and Kaiser [2018;](#page-17-23) Wei et al. [2018](#page-19-9); Kang et al.

[2020](#page-18-21); Pan et al. [2020b;](#page-18-22) Potvin and Hadjigeorgiou [2020;](#page-19-12) Qi et al. [2020](#page-19-25)).

Many studies have documented that yielding support is more effective than stiff support in burst-risk areas (Turner and Player [2000;](#page-19-26) Mazaira and Konicek [2015](#page-18-23)). Yielding support has been used worldwide to mitigate rockburst risks (Ortlepp [1968,](#page-18-24) [1992;](#page-18-25) Jager et al. [1990;](#page-17-26) Ortlepp and Stacey [1994](#page-18-11)). A yielding support system can accommodate a large amount of rock fracturing and absorb the associated dynamic energy (Cai and Kaiser [2018\)](#page-17-23). This function can be achieved by yielding support elements (e.g., yielding rockbolts and mesh) with large deformation capacity.

It is well recognized that the weakest link of a support system is often the surface retaining elements and the connection between bolts and face plates (Kaiser and Cai [2012](#page-18-1); Cai [2013;](#page-17-11) Potvin and Hadjigeorgiou [2020\)](#page-19-12). It is highly likely the weak link in the support system can fail much earlier than before the reinforcements reach their theoretical design

<span id="page-3-1"></span>**Table 1** Failure mechanisms of ground support system observed from 254 rockburst damage events, after Heal ([2010\)](#page-17-14) and Potvin and Hadjigeorgiou ([2020\)](#page-19-12)

Failure mechanism	Per- centage $(\%)$
Surface support damage only, system survived	31
Rockbolt or cable ruptured, sheared or pulled out	30
Naked tendons, surface support failure only	19
Friction rock stabilizer ring failure	9
Surface fixture failure (plate punched through, nut stripped off, barrel and wedge failure)	6
Failure beyond embedment depth	5

capacity. Simser ([2007\)](#page-19-27) stressed that the ground failure often occurs with virtually intact rockbolts at rockburst sites, illustrating that the support failure is often around the collar area with tearing sharp-edged plate but non-breaking rebar. In this scenario, only part of the support capacity of rockbolts was achieved. Güler et al  $(2001)$  investigated the damage zone of rockbursts in South African gold mines, which found that 70% of rock ejection occurred due to failure of surface support elements while the rockbolts still remained intact. Also, as shown in Table [1,](#page-3-1) Heal ([2010\)](#page-17-14) studied 254 rockbursts in hard rock mines and concluded that only 30% of rockburst damage was due to the failure of reinforcement elements. In comparison, the majority of rockburst damage was caused by the failure of either the surface elements or the connection between the surface support and the reinforcements, highlighting the weakest link in a support system. Therefore, it is essential to identify the weakest link in a support system in burst-prone areas and replace it with a stronger one. For example, large face plates, of 350 mm×300 mm and 3 mm thickness, were often adopted in burst-risk zones (Turner and Green [2005\)](#page-19-28) to provide a wider cover area to prevent mesh from being torn off around the collar area. Adding rope lacing or steel straps to the mesh is also an efective approach to enhance the dynamic capacity of the weakest link (Ortlepp and Stacey [1998;](#page-18-7) Cai and Kaiser [2018](#page-17-23)).

In burst-prone areas, an integrated support system is efective when it can provide all the required support functions of reinforcement, retaining, holding and connection (Cai and Kaiser [2018](#page-17-23)). For example, a rockburst experiment in a South African mine demonstrated that the reinforcements alone cannot provide efective support when rockburst occurred (Milev [2005](#page-18-26)). In the experiment, the surface elements (mesh and lacing) were removed from the existing support system, and only the rockbolts remained in place. After the simulated rockburst (blasting), a 3.3 m/s of peak particle velocity  $(v_{\text{pp}})$  and 2.5 m/s of ejection velocity were recorded. However, at the same time, the rockbolts remained intact, indicating that a limited portion of dynamic loading can exert on the rockbolts as the support system missed an essential component of the load transfer elements (e.g., plates and mesh) (Ortlepp [2006](#page-18-27)). Furthermore, for rockburst conditions, Villaescusa et al [\(2016\)](#page-19-29) stressed that the larger the size of loosened blocks, the more reinforcement action is required to absorb energy and stabilize the blocks. In contrast, small loosened blocks need surface support elements, such as mesh, shotcrete and/or their combination. The combination of rockbolts and surface elements can help to increase the support performance (Ortlepp and Stacey [1994](#page-18-11); Cai [2013](#page-17-11)). The integrated system can efectively redistribute the dynamic loading more evenly and allow the rockbolts to withstand more loading, as verifed in practical cases described by Milev ([2005\)](#page-18-26).

In general, the most important aspect of an integrated support system is to ensure the deformation compatibility between each support element within the system (Cai and Kaiser [2018\)](#page-17-23). Then, the support system can reach the highest possible dynamic support capacity, instead of failing at the weakest link before the yielding rockbolts have even reached their yielding state.

# <span id="page-4-1"></span>**3 Support capacities of ground support elements in the laboratory**

### **3.1 Dynamic test approaches for support elements**

In the laboratory, two dynamic testing methods are commonly used to assess the support capacities of ground support elements: free-fall of a mass and momentum transfer (Li [2017b](#page-18-20)). For the free-fall method, dynamic loading is simulated by directly dropping a mass over a certain height onto the support element. The load and the displacement of the tested element are recorded by a load cell under the impact plate and a diferential extensometer, respectively. The support capacities of the tested elements can then be assessed. The set-up of the free-fall method was originally designed at CANMET Mining in Canada. Similar set-ups have also been constructed in Australia, South Africa and Europe. For the

<span id="page-4-0"></span>



momentum transfer approach, the rockbolt is installed in a split tube attached to a known mass. This set-up is fxed on a holding beam. The whole arrangement is then dropped to bufers on the ground. The potential energy of the dropping mass is transferred to the rockbolts when the holding beam impacts the buffers. This dropping test approach is adopted by the Western Australia School of Mines (WASM) (Player et al. [2004](#page-19-30); Villaescusa et al. [2013](#page-19-31); Li [2017b](#page-18-20)).

Currently, three representative dynamic test facilities are commercially active worldwide: CANMET, WASM, and Geobrugg. Their testing capacities and characteristics are summarised in Table [2.](#page-4-0) As the set-ups differ significantly among dropping test facilities, it is essential to provide the testing procedures and input parameters (e.g., dropping mass and height) when reporting the testing results for support elements (Cai and Kaiser [2018](#page-17-23); Li et al. [2021](#page-18-6)).

### **3.2 Yielding rockbolts**



<span id="page-5-0"></span>**Fig. 4** Classifcation of support mechanisms of yielding rockbolts (Sharifzadeh et al. 2020)



<span id="page-5-1"></span>**Fig. 5** Dynamic test of D-bolt with 22 mm diameter, redrawn after Li and Doucet ([2012\)](#page-18-28)

According to the energy-absorbing mechanisms, yielding rockbolts can be classifed into two categories (Hao et al. [2020;](#page-17-28) Sharifzadeh et al. [2020\)](#page-19-15): stretching rockbolts, and ploughing or structural extrusion rockbolts, as shown in Fig. [4](#page-5-0). The stretching rockbolts can elongate with bulking rock mass and absorb energies through plastic deformation of the bolt shank. The ploughing or structural extrusion rockbolts absorb energies by ploughing of the anchor in the grout or extrusion of the shank within the pre-designed structural elements (e.g., expandable sleeves).

As an example, the support performance of D-bolts in a dynamic loading test is shown in Fig. [5.](#page-5-1) Li and Doucet ([2012\)](#page-18-28) tested D-bolts using the dynamic testing facility at CANMET. A D-bolt with 22 mm diameter and 1.5 m of test section can elongate approximately 220 mm and absorb 60 kJ of energy, as shown in Fig. [5](#page-5-1). The total energy absorption is calculated by the integral area between the load–displacement curve and the displacement axis. The average yielding load on the D-bolt can be determined to be approximately 265 kN. Sharifzadeh et al. [\(2020](#page-19-15)) proposed that the slope of the linear regression of the energy absorption can refect the energy absorption capacity of a specifc yielding bolt, which is defned as the energy absorption rate (EAR) with a unit of kJ/mm. The EAR of the D-bolt is approximately 0.269 kJ/mm, as shown in Fig. [5.](#page-5-1) Furthermore, the unit of EAR is dimensionally the same as load (kN), indicating that the two terms can be converted to each other. For example, 0.269 kJ/mm is equivalent to 269 kN. After this conversion, it was found that the EAR is an approximation of the average yielding load (Sharifzadeh et al. [2020](#page-19-15)). The initial stifness of a rockbolt refers to the slope of the secant line from the origin point to the frst peak point in the load–displacement curve (Li [2021](#page-18-15)). For example, the initial stifness of the D-bolt shown in Fig. [5](#page-5-1) is approximately 22.28 kN/mm. The initial stifness is an important indicator to assess the support capacity of rockbolts, as rockbolts with high stiffness are required in burst-prone areas to effectively restrain rock bulking (Li [2021](#page-18-15)).

A number of widely used and recently developed yielding rockbolts have been investigated, and their support capacities are summarised in Table [3](#page-6-0).

Some yielding rockbolts, including the cone bolt, Durabar, Garford and Hao-bolt, have initial stifness of less than 5 kN/mm, indicating low stifness performance when rock bulking occurs. This can cause concern in burst-prone areas, as large deformation can occur due to the low stifness support in a high-stress environment (Li [2021\)](#page-18-15). In comparison, the 20MnSi rockbolts and BHRB400 rockbolts have outstanding performance on the initial stifness, probably because of the high strength metal material used for the rockbolts. Generally, the stretching rockbolts have relatively higher initial stifness (>10 kN/mm in general) than the ploughing or extrusion rockbolts. Nevertheless, it is more reasonable to compare the initial stifness of diferent rockbolts tested in the same testing facility than in diferent facilities because the initial stifness of the same rockbolt samples can vary considerably in diferent testing facilities,

<span id="page-6-0"></span>



<span id="page-6-1"></span>**Table 4** Typical demand for ground support design and yielding bolts selection, updated after Sharifzadeh et al. [\(2020](#page-19-15))



while the initial stifness (for the same rockbolts) changes little in the same facility (Li et al. [2021](#page-18-6)).

Villaescusa et al [\(2015\)](#page-19-13) summarized the typical rock mass demand for ground support elements in burst-prone areas, as shown in Table [4](#page-6-1). For the representative case of bolting density one bolt per square metre of face, the average yielding load in Table [3](#page-6-0) can be regarded as the load on the excavation surface per unit area, which is equivalent to the reaction pressure in Table [4](#page-6-1). Furthermore, the energy absorption rate (EAR) and average yielding load (i.e., reaction pressure) can refect the 'yielding stifness' of the yielding rockbolts. The magnitudes of the two terms are approximately equal to each other. Thus, the reaction pressure can be used as a primary indicator in yielding rockbolts classifcation.

As shown in Fig. [6](#page-7-0), the yielding rockbolts are classifed into low, medium, high and very high according to the ground support demand proposed by Villaescusa et al ([2015\)](#page-19-13). Although all the yielding rockbolts have more than 15 kJ of energy absorption capacity, a high level of energy absorption demand, the reaction pressure difers in a wide range of 60 kPa (Yield-Lok) to 285 kPa (MP1 bolt).

It is of interest that all the yielding rockbolts of stretching type are identifed in the 'very high' category, indicating that the stretching shank can absorb 'very high' seismic energy and produce 'very high' reaction pressure during the yielding deformation of the bolt. In comparison, the ploughing yielding bolts (including ploughing and structural extrusion) have various levels of reaction pressure, and only the Wang-bolt has a 'very high' level of reaction pressure. In addition, as shown in Table [3,](#page-6-0) the ploughing bolts have relatively 'weaker' initial stifness compared to that of stretching bolts. The classifcation generally refects that the ploughing elements and/or the structural extrusion devices do not have a stable performance regarding the resisting force (i.e.,



<span id="page-7-0"></span>**Fig. 6** Classifcation of yielding bolts based on energy absorption and reaction pressure capacity listed in Table [3](#page-6-0)

reaction pressure) generated during the process of accommodating dynamic rock bulking. Nevertheless, Kaiser et al. [\(1996](#page-18-19)) suggested that it would be suitable for reinforcements in burst-prone areas when the reinforcements can provide up to 300 mm of accommodation displacement and load capacity of greater than 100 kN. With the development of yielding reinforcements in recent decades, many yielding rockbolts can meet this requirement.

### **3.3 Support capacities of integrated support systems**

Surface support elements have been tested using the drop test approach by numerous studies (Kaiser et al. [1996](#page-18-19); Ortlepp and Stacey [1998;](#page-18-7) Stacey and Ortlepp [2001](#page-19-16); Brändle et al. [2017](#page-17-16)). The majority of the tests connect the surface support elements (mainly the mesh) and rockbolts together as an integrated support system. The drop tests are mainly conducted in South Africa (Ortlepp and Stacey [1998](#page-18-7); Stacey and Ortlepp [2001\)](#page-19-16), CANMET GRC in Canada (Kaiser et al. [1996;](#page-18-19) Cai and Kaiser [2018\)](#page-17-23), Geobrugg in Switzerland (Bucher et al. [2013](#page-17-15); Brändle et al. [2017\)](#page-17-16) and WASM in Australia (Villaescusa et al. [2015\)](#page-19-13). However, for dynamic tests in South Africa and GRC, only the total input energy (i.e., impact energy) can be determined using dropping mass and dropping height. The portion of energy absorbed by each element in the support system cannot be explicitly evaluated. In comparison, the more recently developed test facilities at Geobrugg and WASM can directly assess the energy absorption capacity of each support element within a tested system.

#### **3.3.1 Dynamic test results in South Africa and GRC**

The testing facility in South Africa used four rockbolts with a 1 m spacing of bolt pattern to restrain the surface support elements (Stacey and Ortlepp [2001\)](#page-19-16). Various surface support elements were tested in the facility, including mesh, shotcrete, rope lacing, and their combinations. The size of the surface support sample was  $1.6 \text{ m} \times 1.6 \text{ m}$ . Three layers of concrete blocks (250 mm  $\times$  250 mm  $\times$  100 mm) were laid onto the surface support elements to simulate the attached 'rock mass'. The impact load was produced by dropping the loading mass onto a 40 mm thick steel impact plate. Thus, the dynamic load was applied uniformly by transferring load through the steel plate and concrete blocks to the surface support elements. During the impact loading process, a signifcant part of the impact energy was dissipated by crushing the stacked concrete blocks, and a small amount (unknown) of impact energy transferred to the tested surface support elements (Potvin and Hadjigeorgiou [2020](#page-19-12)). Two drop weights (1050 and 2700 kg, respectively) were used. The maximum impact velocity can reach up to 8.1 m/s, which corresponds to 3.3 m of dropping height and 70 kJ of maximum impact energy.

In GRC tests, the impact load was applied directly as a point load in the center of the surface support component. The loading mass in the GRC facility was 565 kg with a range of impact velocities from 4.4 m/s to 7.7 m/s. The maximum impact energy was 16.6 kJ (Cai and Kaiser [2018](#page-17-23)). The mesh sample was restrained by four stiff rockbolts with 0.85 m spacing of diamond pattern. Of note is that the direct loading mode in GRC was diferent from the loading mode in South Africa, where the loading mass was dropped onto the stacked concrete blocks laid on the mesh to generate indirect impact loading on the mesh sample. Cai and Kaiser [\(2018\)](#page-17-23) argued that the point loading mode in GRC may be more representative of rockbursts than the distributed loading mode in South Africa, as the distributed loading mode in South Africa over-uniform the stress exerted on surface samples in an actual rockburst scenario.

Cai and Kaiser ([2018](#page-17-23)) compared the energy absorption capacities of various support elements by compiling the test results together based on their tested bolt pattern in GRC. In comparison, Martínez et al ([2020\)](#page-18-32) normalized the test results based on the mesh size used in the test facilities. Furthermore, the test confgurations vary remarkably between the testing facilities at GRC, Geobrugg and WASM. Thus, it is hard to have a clear trend of comparison for the support elements tested in diferent confgurations. Fig. [7](#page-8-0) summarises the test results of various support elements in South Africa and GRC. The test results are normalized according to the bolt pattern used to restrain the surface elements, which is consistent with the comparison method used by Cai and Kaiser [\(2018\)](#page-17-23).

The loading test results showed that the un-reinforced shotcrete and fiber-reinforced shotcrete had the poorest performance as they can resist approx.  $< 10 \text{ kJ/m}^2$  of impact energy and  $<$  50 mm of deflection. Most weldmesh support systems cannot resist more than 10  $kJ/m<sup>2</sup>$  of impact energy, and the central defection of the weldmesh was approximately 100 mm. The chain-link mesh support



<span id="page-8-0"></span>**Fig. 7** Performance of various surface support elements, updated from Stacey and Ortlepp [\(2001](#page-19-16)); Cai and Kaiser ([2018\)](#page-17-23). Note: SA means the support elements were tested in South Africa (with unflled

symbols). GRC means the support elements were tested at CANMET GRC in Canada (with flled symbols)



<span id="page-8-1"></span>**Fig. 8** Enhancement of mesh performance by adding rope lacing and mesh strap, redrawn after Cai and Kaiser ([2018\)](#page-17-23). Note: special mesh refers to yield meshes that were commercially available in South Africa

systems had better performance than the weldmesh support systems.

In South Africa, Stacey and Ortlepp ([2001](#page-19-16)) and Kuijpers et al ([2002\)](#page-18-33) found that adding rope lacing and mesh strap to mesh elements can substantially enhance the support performance of the support system. The capacity of the mesh support system was approximately doubled by adding rope lace, and it was four times higher when adding mesh straps, as shown in Fig. [8.](#page-8-1) The rope lacing and mesh straps can reduce the mesh defection between rockbolts to enhance the support capacity of the integrated system, which is similar to reducing the bolt spacing in the support system (Cai and Kaiser [2018\)](#page-17-23).

#### <span id="page-9-0"></span>**3.3.2 Dynamic test results at Geobrugg and WASM**

For the Geobrugg test facility in Switzerland, the size of the mesh sample is  $3.6 \text{ m} \times 3.6 \text{ m}$ . Four yielding bolts are used to restrain the mesh element with a typical bolt pattern  $(1.2 \text{ m} \times 1.2 \text{ m})$ . Bucher et al  $(2013)$  $(2013)$  conducted drop tests on a support system consisting of TECCO G80/4 chainlink mesh and four D-bolts. The D-bolts had a diameter of 20 mm, bolt length of 3 m and deformable section of 1.5 m, indicating 225 mm of possible elongation by assuming 15% of ultimate strain. The loading mass in this test was 6280 kg, and the loading height was 3.25 m. Thus, the impact energy was approximately 200 kJ. The mesh sample was installed within two concrete slabs of diferent thicknesses to simulate two types of rock mass conditions. A thicker concrete slab represented stiff rock mass, and a thinner concrete slab represented softer rock mass condition. The test results are shown in Table [5](#page-9-1).

For the two drop tests, the test facility dissipated most of the impact energy (approximately 80% of the total input energy). Although there was no remarkable diference for the total energy absorbed by the support system between the stif set-up (i.e., thicker concrete slab) and soft set-up (i.e., thinner concrete slab), the distribution of energy absorption between the reinforcements and mesh elements differed signifcantly. For a stif rock mass environment, the reinforcements took approximately 75% of the total energy absorbed by the support system, while the mesh element only took 25% of the total absorbed energy. In contrast, for a soft rock mass environment, the reinforcements dissipated a small portion (30%) of the total absorbed energy, while the mesh element dissipated the majority (70%) of the energy. It highlighted that the stifness of the supported rock mass can signifcantly infuence the distribution of energy absorption between reinforcements and mesh elements during rockbursts. Furthermore, the test results generated debate on whether rockbursts are better represented by the stiff set-up or the soft set-up in testing (Potvin and Hadjigeorgiou [2020](#page-19-12)). The stiff set-up can represent a relatively intact rock mass condition around excavations before the rockburst. In contrast, the soft set-up can represent a relatively fractured rock mass condition. The soft set-up may better represent the rock mass condition in deep coal excavations, as the coal mass is usually in failure around the deep excavations due to the low strength of the coal. It is also probable that both stiff and soft rock mass conditions can occur in diferent rockbursts in the same mine site.

As shown in Fig. [9](#page-9-2)b, at WASM, a single rockbolt is placed through the drop beam, loading mass (i.e., the loading rings) and curved plate. The frame is then fxed onto the drop beam with load cells. The curved plate is placed in the central position of the mesh sample. The size of the mesh sample is  $1.3 \text{ m} \times 1.3 \text{ m}$ . According to the testing configuration, the WASM facility can test only part of a ground support system (Cai and Kaiser [2018\)](#page-17-23), as the single rockbolt at



(a) Test set-up at Geobrugg (b) Test set-up at WASM

<span id="page-9-2"></span>**Fig. 9** Confguration of the drop test facility at Geobrugg and WASM (Bucher et al. [2013](#page-17-15); Villaescusa et al. [2015](#page-19-13))

<span id="page-9-1"></span>**Table 5** Drop test results of support system at Geobrugg (Bucher et al. [2013](#page-17-15))

Tested rock type	Mesh deflec- tion (mm)	Bolt deform (mm)	Energy absorbed by Energy absorbed mesh (kJ)	by bolts (kJ)	Total energy absorbed by support system (kJ)	Energy absorbed by test rig $(kJ)$
Stiff	140	150	10	32	42	158
Soft	300	25	26	10	36	164



<span id="page-10-0"></span>**Fig. 10** Classifcation of ground support systems based on energy absorption and mesh defection capacity. Note: the frst two support systems (TECCO G80/4 and four D-bolts) were tested at Geobrugg, and the rest of the support systems were tested at WASM

WASM cannot fully represent a complete bolting pattern which needs four rockbolts.

Figure [10](#page-10-0) summarises the dynamic test results of ground support systems at Geobrugg and WASM. The support systems can be classifed into low, medium and high support capacities according to the support demand proposed by Villaescusa et al ([2015\)](#page-19-13) in Table [4.](#page-6-1) The two support systems tested at Geobrugg are classifed as low support capacity. However, no ruptures occurred on the main support elements (i.e., the four D-bolts and the mesh) after the drop tests, indicating that the two tested support systems did not reach their maximum support capacity at Geobrugg. In contrast, all the 11 support systems tested at WASM reached their maximum support capacity as ruptures occurred on either part (reinforcements or mesh elements) or all of the support systems after the drop tests.

Based on Fig. [10](#page-10-0), almost all the support systems with chain-link mesh and yielding rockbolts (i.e., decoupled rockbolts) were classifed as high support capacity (greater than  $15 \text{ kJ/m}^2$  of energy absorption and  $100 \text{ mm}$  of mesh defection). All the support systems with chain-link mesh and stif rockbolt (i.e., thread rebar) were classifed as medium support capacity. Support systems had medium support capacity when the system used weldmesh and stiff rockbolts. In comparison, a support system may reach high support capacity when the system used weldmesh and yielding rockbolts (i.e., the support system with weldmesh and decoupled Posimix rebar). Except for the support system tested in the soft set-up at Geobrugg, the energy absorbed by surface support elements (i.e., mesh) was less

than 10 kJ before the systems failed, with the mesh rupturing in most cases. Furthermore, drop tests pointed out that the ground support system often fails on the weakest link, which is usually the surface support elements. Thus, the capacity of a ground support system can be conservatively assessed by the capacity of the mesh in the system (Potvin et al. [2010\)](#page-19-24). It also stressed that low support performance can be expected when a support system uses high capacity yielding reinforcements but low capacity surface support elements. In this scenario, minimal load is transferred to the reinforcements due to premature failure of the surface support elements.

In general, it is essential to improve the capacity of surface support elements to enable the load to be transferred between the reinforcements and the mesh without premature failure in mesh elements. As such, the energy can be dissipated through both the reinforcement elongation and the mesh defection.

# **3.4 Interpretation of support capacities obtained from drop tests**

Interpretation of drop test results is necessary when applying the tested support systems in practice (Potvin et al. [2010\)](#page-19-24), as certain limitations exist in the drop tests. For example, the drop test approach does not consider the interaction between the ground support system and the supported rock mass. Diferent supporting environments may signifcantly change the distribution of energy dissipation of support elements in a support system, as demonstrated by the test results at



<span id="page-11-0"></span>**Fig. 11** Flowchart of support design using CRH approach

Geobrugg. Also, the test protocols can have considerable impacts on the test results (Potvin et al. [2010](#page-19-24)). It is essential to recognize the diferences in various testing facilities when interpreting and compiling their test results. Diferent testing facilities have diferent testing characteristics, such as the loading modes, mesh size, number and bolt pattern of rockbolts, and boundary conditions used in the facilities. Furthermore, the support capacities in the drop tests are determined based on an idealized dynamic tensile loading mode, especially for the tested reinforcement elements. The load transfer and failure mode of the support system can be diferent when signifcant dynamic shearing load exerts on the support elements during rockbursts. In this scenario (i.e., shearing load), some of the arguments concluded from the drop tests may be invalidated. In addition, the support performance can be diferent when the installation quality and loading mechanism (e.g., strain burst and fault-slip burst) change at a particular mine site (Player et al. [2008](#page-18-29)).

All testing approaches can help assess support capacity of support elements. The critical part is to link the test results from various testing techniques and apply the test results in practice. Nevertheless, it would be benefcial to develop standard testing procedures across testing facilities (Potvin and Hadjigeorgiou [2020\)](#page-19-12).

# **4 Engineering practice of ground support systems in burst‑prone mines**

This section summarises the international best-practice ground support systems used in burst-prone mines. It aims to provide insights into control strategies that can be adopted in burst-prone coal mines.

### **4.1 Canadian rockburst handbook (CRH) approach**

The method used in CRH is a semi-analytical based approach to assess the support demand in dynamic ground conditions (Kaiser et al. [1996](#page-18-19); Kaiser and Cai [2012;](#page-18-1) Cai [2013](#page-17-11); Cai and Kaiser [2018\)](#page-17-23). The support demand is determined according to the demand assessment of static loading, static displacement, dynamic displacement and energy release in burst-prone areas, as shown in Fig. [11.](#page-11-0)

The depth of failure around excavations can be estimated using a semi-empirical relationship between the failure depth and the stress conditions around the excavations, as given by Eq. ([1\)](#page-12-0). Then, the static loading approximately equals the deadweight of the failure zone. Of note is that Eq. ([1](#page-12-0)) and its parameters are obtained using a database of tunnels in a relatively stif mining environment in hard rock mines (Kaiser and Cai [2013\)](#page-18-34). Therefore, for excavations in relatively soft rock such as coal in a soft loading environment, it is reasonable to expect considerably larger failure depth around the excavations.

$$
\frac{d_f}{a} = C_1 \frac{\sigma_{\text{max}}}{\sigma_c} - C_2 = C_1 SL - C_2 \tag{1}
$$

$$
a = \frac{L}{\sqrt{2}}\tag{2}
$$

$$
SL = \frac{\sigma_{\text{max}}}{\text{UCS}}\tag{3}
$$

where,  $d_f$  is the depth of failure;  $a$  is the equivalent opening radius; *L* is the shortest roadway dimension between height or width;  $C_1$  and  $C_2$  are two constants. For static conditions, the average values of  $C_1$  and  $C_2$  are 1.37 and 0.57, respectively. For dynamic ground conditions,  $C_1$  and  $C_2$  are determined based on peak particle velocity around excavations (Kaiser  $2006$ ).  $\sigma_c$  is the uniaxial compressive strength (UCS). *SL* refers to the stress level around excavations,  $\sigma_{\text{max}}$ is the maximum stress at the excavation boundary.

The bulking factor (*BF*) refers to the change of unidirectional length when the stress-driven failure occurs. The support displacement demand (static and dynamic) can be assessed by multiplying the failure depth  $(d_f)$  by the *BF*, as given by Eq.  $(5)$  $(5)$ .

$$
BF = \frac{d_1}{l}; \text{ if } l = d_f \text{ then } BF_{d_f} = \frac{d_1}{d_f} \tag{4}
$$

$$
\frac{u_{\text{wall}}}{a} = BF(C_1SL - C_2) = BF\frac{d_f}{a}
$$
 (5)

where,  $d_1$  is the length change that is perpendicular to the excavation boundary; *l* is the original length; the bulking factor (*BF*) varies from approximately 1% to 50% according to feld observation and numerical modeling (Kaiser and Cai [2013](#page-18-34));  $u_{\text{wall}}$  is the wall displacement.

For a rockburst event, the kinetic energy of the ejected rock is related to the peak particle velocity (ppv). In practice, the design ground motion ( $ppv<sub>D</sub>$ ), rather than ppv, is often used for the estimation of kinetic energy demand in the support system, as the  $ppv<sub>D</sub>$  is an approximation of the upper bound of the possible magnitudes of ppv (Kaiser and Cai  $2013$ ; Potvin and Hadjigeorgiou  $2020$ ). The ppv<sub>D</sub> can be estimated using a semi-empirical scaling law given by Eq. ([6\)](#page-12-2). Of note is that this scaling law assumes the seismic source as a point source and the seismic wave propagates in a spherical pattern rather than the actual complex radiation pattern produced by a slip event. Therefore, the spherical assumption is conservative, and it does not consider the impacts of the shear slip orientation on the magnitude of traveling seismic stress waves and seismic energy.

<span id="page-12-0"></span>
$$
ppv_{D} = \frac{C \times 10^{\frac{1}{2}(M_{R} + 1.5)}}{R}
$$
 (6)

<span id="page-12-2"></span>where,  $M_R$  is the Richter magnitude of the seismic event; *R* is the distance between the seismic source and the target areas; *C* is an empirical constant considering reliability and static stress drop.

The total energy of the ejected rock mass mainly consists of kinetic energy and potential energy, as given by Eq. [\(7](#page-12-3)). The energy absorption capacity of the support system should be greater than the total energy.

<span id="page-12-3"></span>
$$
E_{\text{total}} = \frac{1}{2}mv_e^2 + mgd_s \tag{7}
$$

where,  $v_e$  is the ejection velocity; *m* is the mass of ejected rock;  $d_s$  is the deformation exerted on the support system; *g* is gravitational acceleration. Of note is that the potential energy term is only taken into account if  $d<sub>s</sub>$  points vertically downwards.

Although the ppv or  $ppv_D$  can be used to estimate the kinetic energy demand for the support system (Kaiser et al. [1996](#page-18-19); Kaiser and Cai [2012;](#page-18-1) Zhang et al. [2015](#page-19-35)), the ejection velocity  $(v_e)$  is different from the ppv or ppv<sub>D</sub>. Some factors, such as the interaction of ground motion and support system, the evolution of ppv around the excavation, the infuence of loading stifness of local environment and the involvement of strain energy within country rock, are extremely complex. As a result, there may be no direct relationship between ppv and ejection velocity (Kaiser and Cai [2013\)](#page-18-34). Therefore, the accurate energy absorption capacity for a support system still needs much more research (Potvin and Wesseloo [2013](#page-19-36); Wei et al. [2018\)](#page-19-9).

### <span id="page-12-1"></span>**4.2 The Western Australian School of Mines (WASM) approach**

As discussed in Sect. [3](#page-1-1), researchers at WASM have established a substantial database for the dynamic capacity of both individual support elements and integrated support systems. WASM also conducted experiments in the laboratory and feld to assess the ground support demand in burstprone conditions. In general, the support energy demand for a burst-prone area is mainly related to the amount of mass that would be ejected and the velocity of the ejected mass (Villaescusa et al. [2016\)](#page-19-29).

Villaescusa et al ([2016](#page-19-29)) postulated that the UCS of the country rock of excavations may be the most reliable index to assess the ejection velocity, as the kinetic energy of the ejected rock mass is dominantly controlled by the strain energy stored behind the excavation ribs. Kusui et al ([2016](#page-18-36)) conducted a series of scaled-down experiments of circular excavations (200 mm diameter) in hard rock, demonstrating that the ejection velocity approximately increased linearly

with increasing UCS of intact rock. The ejection velocity ranged from 2 to 10 m/s for various rock types, which can be validated against the ejection velocity observed in actual rockburst in hard rock mines (Ortlepp [1993;](#page-18-37) Drover and Villaescusa [2015](#page-17-32), [2016\)](#page-17-33).

Villaescusa et al ([2016](#page-19-29)) classifed the failure mechanisms of burst damage zone into spalling failure and structurally controlled failure. For rockbursts in hard rock mines, the majority of damaged areas are characterized by spalling failure, where tensile fracturing of rock (associated with ejection of rock slabs) occurs sub-parallel to the excavation surface. In this scenario, the failure depth is shallow and often ranges from 0.25 to 0.5 m, corresponding to approximately 0.6  $t/m^2$  to 1.3  $t/m^2$  for hard rocks. The spalling failure depth gradually decreases with increasing rock strength (Villaescusa et al. [2016\)](#page-19-29). The energy demand is often within 20 kJ/ m<sup>2</sup> for a support system in spalling failure conditions. For structurally controlled failure, the failure depth may exceed 2 m, and large loosened blocks can be ejected along the major structures. The ejected mass can exceed  $1.5 \text{ t/m}^2$ , and the corresponding support energy demand is often greater than 25  $kJ/m<sup>2</sup>$ . In extreme conditions, the support energy demand can be greater than 60 to 80 kJ/ $m^2$  (Drover and Villaescusa [2016](#page-17-33)).

After determining the energy demand in burst-prone areas, the support system can be selected according to the support capacity obtained from drop tests. The capacities, including the force, deformation and energy absorption, of the selected support system should be greater than the rock mass demand in burst-prone areas.

#### **4.3 Rockburst damage potential approach**

As discussed above, certain limitations exist when determining ground support demand in burst-prone areas using analytical and laboratory test approaches. Alternatively, numerous studies have assessed the support performance of various ground support systems in dynamic ground conditions, aiming to provide empirical guidance in ground support selection (Heal et al. [2006](#page-17-17); Potvin [2009](#page-19-17); Mikula [2012](#page-18-8); Duan et al. [2015a;](#page-17-18) NGI [2015;](#page-18-9) Morissette and Hadjigeorgiou [2019\)](#page-18-10). As an example, the rockburst damage potential (RDP) approach proposed by Heal et al [\(2006](#page-17-17)) is one of the empirical-based approaches to determine the ground support systems in dynamic ground conditions. The RDP is highly related to the excavation vulnerability potential (EVP) and the ppv produced by a given seismic event. This relationship was validated by a database consisting of 254 damage zones and 80 rockburst case histories in hard rock mines in Australia and Canada.

Excavation vulnerability potential (EVP) is established empirically to quantify the infuence of local site conditions on damage potential around excavations. The EVP is assessed by five major factors given by Eq.  $(8)$  $(8)$ : static stress conditions (*E*1), the capacity of the ground support system (*E*2), excavation span (*E*3), geological structure condition (*E*4), and roadway orientation condition (*E*5). The damage potential of excavations increases with increasing EVP.

<span id="page-13-0"></span>
$$
EVP = \frac{E1}{E2} \times \frac{E3}{E4} \times E5
$$
 (8)

The static stress condition E1 is expressed by the ratio of static loading to intact rock strength, as given by Eq. [\(9\).](#page-13-1)

<span id="page-13-1"></span>
$$
E1 = 100 \times \frac{\sigma_{1M}}{\text{UCS}} \tag{9}
$$

where,  $\sigma_{1M}$  is the maximum principal stress around excavations.

Ground support systems are rated in five levels according to their general support capacities (*E*2). Users need to determine the levels of their local support system according to the empirical rating scheme. The excavation span factor (*E*3) directly equals the diameter (metre of unit) of the maximum circle that can be inserted into the excavation. The geological structure condition (*E*4) considers the geological discontinuities and ground conditions in the target area. Turcotte ([2014\)](#page-19-37) added the roadway orientation factor (*E*5) to the calculation of EVP, as the relative orientation of roadway to the foliation had considerable impacts on the excavation stability (Mercier-Langevin and Hadjigeorgiou [2011](#page-18-38)).

Heal et al [\(2006\)](#page-17-17) proposed a rating scheme for the rockburst damage scale (RDS), which is a modifed version of the RDS proposed by Kaiser et al ([1992](#page-18-13)). The RDS proposed by Heal et al [\(2006\)](#page-17-17) classifed the damage conditions into five levels, as shown in Table  $6$ , which was applied to the rockburst cases (i.e., the 254 damage zones and 80 rockburst case histories).

It was found that the rockburst damage scale (RDS) of the 254 damage zones had a strong correlation with the corresponding values of EVP and peak particle velocity

<span id="page-13-2"></span>**Table 6** Rockburst damage scale (RDS) classifcation (Heal et al. [2006](#page-17-17))

<b>Rockburst</b> damage scale	Rock mass damage	Support damage
R1	No damage, minor loose	No damage
R <sub>2</sub>	Minor damage, less than 1 tonne displaced	Support system is loaded, loose in mesh, plates deformed
R3	1–10 tonnes displaced	Some broken bolts
R <sub>4</sub>	10–100 tonnes displaced	Major damage to support system
R5	$>100$ tonnes displaced	Complete failure of support system

![](_page_14_Figure_2.jpeg)

<span id="page-14-1"></span>**Fig. 12** Flowchart of support design using rock burst potential (RDP) approach

(ppv). The ppv of a given seismic event is estimated by Eq. ([10](#page-14-0)). Then, the rock damage potential (RDP) was proposed according to the empirical relationship between the  $EVP \times ppv$  and the RDS, which can be used as a design tool for ground support system selection in burst-prone mines. For example, for a given seismic event, the generated ppv can be estimated using Eq. ([10](#page-14-0)) for any target areas in sites. Then, as the factors of *E*1, *E*3, *E*4 and *E*5 of the target area are constant, the required capacity of support system (i.e., *E*2) can be determined by adjusting the RDS to an acceptable level, i.e., R1 or R2 in general (Potvin and Hadjigeorgiou [2020\)](#page-19-12), as shown in Fig. [12](#page-14-1).

$$
ppv = \frac{1.4 \times 10^{(M_R/2)}}{R}
$$
 (10)

where,  $M_R$  is the Richter magnitude of the seismic event.

<span id="page-14-0"></span>The RDP map is a real-time assessment for the RDS, as the ppv and stress condition (*E*1) change during excavations. The real-time RDP can highlight the areas that have burstprone risks, and the support system at the target zone can be upgraded accordingly (Potvin and Hadjigeorgiou [2020](#page-19-12)). The RDP approach has been applied in numerous studies (Hudyma and Potvin [2010;](#page-17-34) Turcotte [2014](#page-19-37); Duan et al. [2015b\)](#page-17-35), although certain limitations exist. For example, Kaiser and Cai [\(2013\)](#page-18-34) pointed out that the EVP index does not capture the local mine stifness and the strain energy stored around damage zones, which are critical factors for rockbursts. Also, the estimated ppv should only be applicable to far-feld areas.

![](_page_15_Figure_2.jpeg)

![](_page_15_Figure_3.jpeg)

![](_page_15_Figure_4.jpeg)

![](_page_15_Picture_5.jpeg)

![](_page_15_Figure_7.jpeg)

(b) Second-level support: ring beam (c) Third-level support: hydraulic support

![](_page_15_Picture_9.jpeg)

(d) Illustration of the three-level support (e) Field application of the three-level support

# **4.4 Three‑level support approach in burst‑prone coal mines in China**

Recently, three-level energy absorption support has been widely applied in roadways in burst-prone coal mines in China (Wei et al. [2018](#page-19-9); Pan et al. [2020b;](#page-18-22) Qi et al. [2020](#page-19-25); Kang. [2021](#page-18-39)), as shown in Fig. [13.](#page-15-0)

The frst-level support consists of yielding reinforcements (rockbolts and cable bolts) and mesh. Fully encapsulated yielding rockbolts are applied. For example, the high strength BHRB rebar and 20MnSi rebar (discussed in Sect. [3.2](#page-4-1)) are widely used in coal excavations with high stress conditions (Kang et al. [2020\)](#page-18-21). For burst-prone areas

subjected to seismic events with 100 to 1000 kJ of seismic energy, the second-level support (ring beam) is added (Pan et al. [2020b\)](#page-18-22). The ring beam aims to generate yielding deformation in the circumferential direction, with maximum capacity of 1.2 m of displacement and 120 kJ to 200 kJ of energy absorption. For seismic events with seismic energy exceeding 1000 kJ, the third-level support (i.e., hydraulic support) is needed, which can provide yieldable support load in the vertical axial direction along the roadway. The hydraulic support can provide a total load resistance of 6000 kN, 200 mm of yield deformation and 1000 kJ of energy absorption (Pan et al. [2013,](#page-18-40) [2014,](#page-18-41) [2020b\)](#page-18-22).

<span id="page-15-0"></span>Fig. 13 Three-level energy absorption support used in severe coal burst roadway (Dai et al. [2020;](#page-17-31) Pan et al. [2020b;](#page-18-22) Qi et al. [2020](#page-19-25))

For extreme roadway conditions, the frst-level support needs to be strengthened by adding mesh straps, large plates, and high pretension (above 50% of yielding load) for both rockbolts and cable bolts. The roadways need to be reinforced completely for the full cross-section, including roof, ribs and foor, as severe foor heave often occurs during coal bursts (Kang et al. [2015\)](#page-18-42). Furthermore, distress blasting and distress drilling with large boreholes ranging from diameter of 120 mm to 300 mm are applied together with the threelevel support in ribs and floor to reduce the high stress concentration and strain energy stored around the excavations (Kang et al. [2015;](#page-18-42) Pan et al. [2020a\)](#page-18-43). Although the three-level support performs well in burst-prone coal mines, limited studies (e.g., analytical or empirical rating scheme) have been conducted for quantitatively selecting the support elements and support levels. Users need to determine the support systems based on their own experience and understanding of the specifc geological and geotechnical conditions.

It is still challenging to develop a successful ground support strategy for rockburst mines, as all support design approaches have certain limitations. For the analytical approach, it is difficult to quantitatively determine both the support demand and ground support capacities in dynamic ground conditions. Empirical approaches are thus an alternative tool for designing support systems in rockbust mines. They assess the performance of the support system in areas subjected to seismic loading, and then upgrade the existing support system accordingly. However, the empirical approach needs a large amount of qualifed data, and the obtained empirical parameters are often site-specifc (Stacey [2012;](#page-19-38) Potvin and Hadjigeorgiou [2020](#page-19-12)). Furthermore, most empirical parameters and approaches are based on the ground support performance in burst-prone hard rock mines. Minimal empirical support design has been conducted in burst-prone coal mine conditions. Thus, in future study, a more systematic and comprehensive rating system is needed, which can provide quantitative assessment of support performance of various ground support elements in coal burst conditions. In addition, gas pressure, as one of the main components in coal (e.g., outburst), needs to be considered in the rating system.

### **5 Conclusions**

This paper investigated ground support requirements in coal burst-prone mines. Ground support principles and considerations in burst-prone areas were frst identifed. The support capacities of various yielding support elements, including rockbolts, mesh, mesh straps and integrated support systems, were assessed. International best-practice ground support systems in burst-prone mines for both coal and hard rock were discussed.

In burst-prone ground conditions, the ground support system aims to maximize the capacity of accommodating rock fracturing and, in turn, to decrease the magnitude of kinetic energy of the ejected material. Three main principles are well-acknowledged in burst-prone areas: use yielding support elements, address the weakest link, and establish an integrated support system. It is crucial to ensure the deformation compatibility between each support element within a support system. As such, the support system can reach the highest possible dynamic support capacity, instead of failing at the weakest link while the yielding rockbolts have not even reached their yielding state.

With the development of yielding reinforcements in recent decades, many yielding rockbolts can provide more than 300 mm of yielding displacement and load capacity greater than 100 kN, which was a critical requirement proposed by Kaiser et al. ([1996\)](#page-18-19) for yielding bolts in burstprone areas. Apart from the energy absorption and yielding deformation capacity, the initial stifness and energy absorption rate are also critical factors when applying yielding rockbolts in practice. Stretching yielding rockbolts perform well for both the initial stifness and energy absorption rate. For ground support systems, the combination of chain-link mesh and yielding rockbolts were classifed as high support capacity (greater than  $15 \text{ kJ/m}^2$  of energy absorption and 100 mm of mesh defection). Furthermore, adding rope lacing and mesh strap to surface support elements can substantially enhance the support performance of the support system.

In practice, the Canadian Rockburst Handbook (CRH) and WASM adopt semi-analytical approaches to assess support demand in burst-prone areas and then to select support elements based on the laboratory test results. Alternatively, the empirical approaches, such as the rock damage potential method, determine the ground support elements according to the empirical rating scheme established for ground support elements and systems. In addition, a three-level support approach performs well in burst-prone coal mines in China. The levels of ground support system are generally upgraded with increasing magnitude of seismic events. Nevertheless, a more systematic and comprehensive rating scheme is needed to provide quantitative assessment of support performance of various ground support elements in coal burst conditions.

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