

Analysis of explosion risk factor potential on coal reclaim tunnel facilities by modified analytical hierarchy process

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Abstract This study focused on developing a risk assessment method for explosion at a coal reclaim tunnel (CRT) facility. The method was developed based on an analytical hierarchy process (AHP), which is an expert system that quantifies the factors of explosion incidents, based on events and hierarchies. In this paper, the proposed model was modification from original AHP model, specifically modifying the structure from “alternative’s results” to “total risk-rating’s results”. The total risk-rating is obtained by summing up risk-rating of each factor, where the risk-rating is a multiplication product of the risk value by the AHP weighted value. To support decision-making using the expert system, data on the real conditions of the CRT were collected and analyzed. A physical modeling of the CRT with laboratory-scale experiments was carried out to show the impact of a ventilation system in CRT on diluting the methane gas and coal dust, in order to support the quantification of AHP risk value. The criteria to evaluate the risk of explosion was constructed from six components that are: fuel, oxygen, ignition, confinement, dispersion, and monitoring system. Those components had fifty-two factors that serve as sub-components (root causes). The main causes of explosion in CRT were found to be: mechanical ventilation failure and abnormal ventilation, breakdown of monitoring system, and coal spontaneous-combustion. Assessments of two CRT facilities at Mine A and Mine B were carried out as a case study in order to check the reliability of the developed AHP method. The results showed that the risk rating of Mine A was classified as high and Mine B was classified as medium, which is in a good agreement with the site conditions.

Keywords Risk · Explosion · Coal reclaim tunnels · Analytical hierarchy process

1 Introduction

Reclaim tunnels are usually constructed underneath the coal stockpiles area near the port and are equipped with a conveyor belt to transport coal continuously from stockpile to a coal barge or to a coal carrier. In coal reclaim tunnel, there is a risk of fire and explosion because the explosive methane gas and coal dust could be present in the tunnel as consequences of coal transportation operations.

According to the risk assessment matrix of the Australian and New Zealand risk management standards AS/NZS 4360:2004 (Ristić 2013), risk level is defined by comparing the likelihood and potential consequences of accident event and can be classified into four levels: extreme risk, high risk, medium risk, and low risk. The CRT explosion can be classified as an extreme risk level (which means a detailed action plan is required) because the likelihood of accident is ranked between “possible” and “almost certain”. Moreover, the potential consequences can be rated “catastrophic” (Smith and Du Plessis 1999). This risk has to be reduced from extreme risk to medium or low risk that can be managed by conducting mitigation plan or risk control. In order to control the risk effectively, factors that contribute to the risk must be

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understood and the relationships of the factors have to be quantified.

Significant research has been conducted on fire and explosion caused by methane gas and coal-dust in underground coal mines and coal stockpiles (Brooks et al. 1988; Smith and Du Plessis 1999; Kissell et al. 2007; Brune et al. 2007; Yuan and Smith 2012; Chalmers 2013). However, only a few of articles mention explosion in CRT. One of these articles appeared in “Guidelines of Safety requirements for coal stockpiles and reclaim tunnels” (Mine Safety Operations Branch New South Wales Australia Trade & Investment 2013), which pointed out that the CRT hazards are related to: people accessing a reclaim tunnel, tunnel blockages impeding means of egress, atmospheric contamination, electricity, fire, explosion, flooding, conveyor failure, draw down equipment failure, airborne dust, and poor maintenance on feeders and valves. The prevention and handling of explosion risk at a reclaim tunnel facility has been explained in the literature, but the quantitative risk from the combination of those hazards has not been described specifically.

This study aims to develop a risk management method at a coal reclaim tunnel facility using the principles of the analytical hierarchy process or AHP (Merna and Al-Thani 2008). The method was chosen because the explosion processes at a reclaim tunnel facility are triggered by a number of events and consist multiple hierarchies, each factor of which can be quantified by AHP. The developed AHP model was a modification of original model (Saaty 2008) that is modified by changing the ending of the AHP structure from “alternative results” to “risk- score results”. Moreover, the developed AHP model also refer to the one presented by Lang and Fu-Bao (2010), who developed a similar method for assessing the risk of spontaneous combustion in a coal seam.

In this present research, experimentation using a physical model of a CRT on a laboratory scale was carried out in order to study the effect of the ventilation system in the CRT. Furthermore, the study case using modified AHP method has been conducted in CRT facilities with different conditions, in order to check the reliability of the developed AHP method.

1.1 Explosion risk

An explosion in underground facilities or tunnels is one of the most feared mining accidents. The explosion is very dangerous to the miners life and all facilities underground due to its very high released energy and the difficulty in preventing and controlling it, as the cause of explosion is very complex and the location is very difficult to access. Data collected by the Mine Safety and Health Administration (MSHA) in the United States presented by Brnich

and Kowalski-Trakofler (2010) in Table 1 show that the most frequent accidents in underground coal mining are explosion and fire related to methane gas as strata gas and coal dust resulting from mining operations.

Five conditions are required for an explosion: fuel, heat, oxygen, mixing (suspension), and isolated space (confinement). The first three factors are called the fire triangle. According to Stephan (1998), the pressure and speed of the explosion are strongly influenced by the suspension factor, whereas the confinement factor serves to maintain the concentration of dust at the Lower Explosive Limit (LEL) and to confine energy from the explosion.

1.2 Coal reclaim tunnel

A coal reclaim tunnel is facility located underneath the coal stockpile (as illustrated in Fig. 1) that serves as a transfer point for coal from the stockpile to other areas. Coal from the stockpile will be transferred onto the conveyor belt through the feeder, and then the conveyor brings the coal to the destination, such as a coal barge or vessel. There is some equipment inside the CRT, including the conveyor, coal feeder, jet fan and others. Dimensions of the CRT vary depending on the size of the coal stockpile and conveyor belt.

According to the Denton (2004), the conditions that trigger the occurrence of explosion in CRT are as follows: coal dust that is passed through the coal feeder; methane gas is released from coal; sparks from an electrical motor such as in jet fan, conveyor belt motor, lamp and so forth; heat from a moving conveyor; conditions of the confined space (confinement), and so on. Other factors also contribute to explosion in CRT, including heat from coal spontaneous combustion, presence of CO gas (which is a combustible gas from incomplete combustion), and insufficient ventilation system.

Table 1 Number of underground coal mine worker fatalities by type of disaster in United States, 1900–2008 (Brnich and Kowalski-Trakofler 2010)

Type of incident	Number of events	Percentage (%)
Explosion	420	81.7
Fire	35	6.8
Haulage	21	4.1
Ground fall/bump	14	2.7
Inundation	7	1.4
Other	17	3.3

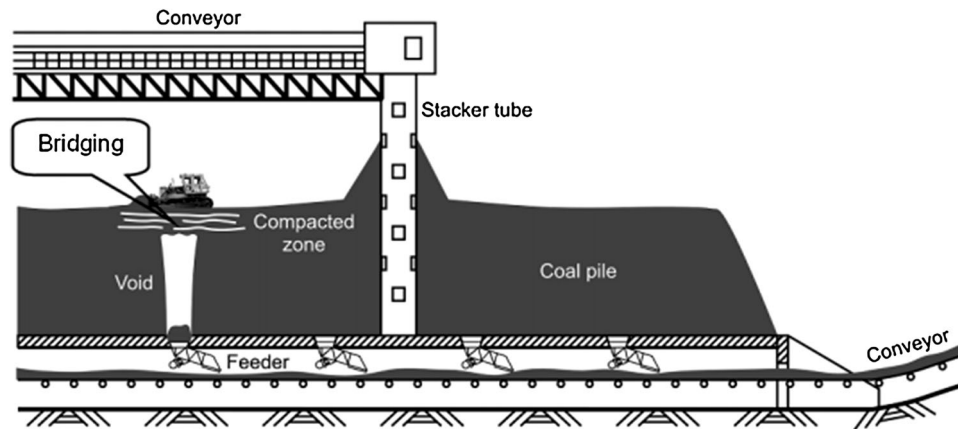


Fig. 1 Design example of stockpile and reclaim tunnel (NSW Guidelines 2013)

1.3 Modified AHP

According to Merna and Al-Thani (2008), some of the preferred methods used to find the root cause of risk are: hazard and operability study, fault tree analysis, what-if analysis, and checklist. However, those are not suitable to understand the weighted value of a root problem in terms of the event. The AHP developed by Saaty (1980) use pairwise comparisons and relies on the judgements of experts to derive priority scales. The AHP can quantify each factors that contributes to the risk. The steps to perform the analysis with AHP are as follows (Saaty 2008):

- (1) define the problem and determine the kind of knowledge required,
- (2) establish the decision hierarchy (goal of the decision, criteria on which subsequent elements depend, and alternatives),
- (3) weigh the priorities, and continue this process of weighing and adding, until the final priorities are obtained.

The AHP structure developed in this research is a modification of the second and third steps of the original structure listed above and the final priorities or alternatives are not used as a conclusion in the modified AHP. The

modified AHP structure can be seen in Fig. 2. Hierarchy I is the “risk”, Hierarchy II is the “main factors” that contribute to the risk, and Hierarchy III is the “cause factors” that contribute to each main factors.

The modified AHP is conducted in several stages as follows (Fig. 3):

- (a) *Determine the cause of the explosion factor in a CRT.*

The risk of explosion in CRT has two main factors, namely internal and external factors. Internal factors come from natural conditions, such as: coal dust, methane gas, spontaneous combustion propensity, and so forth. External factors are derived from engineering design and confined space condition, insufficient airflow quantity, the presence of external triggers, and so on. These factors are described in the modified AHP structure.

- (b) *Calculate the weighted value of each factor.*

The weighted value is derived from the expert assessment, which is then processed using Super Decisions software (RC1 2016).

- (c) *Determine the parameters of the risk level for each factor.*

Parameters are derived from some references and are also derived from site assessments.

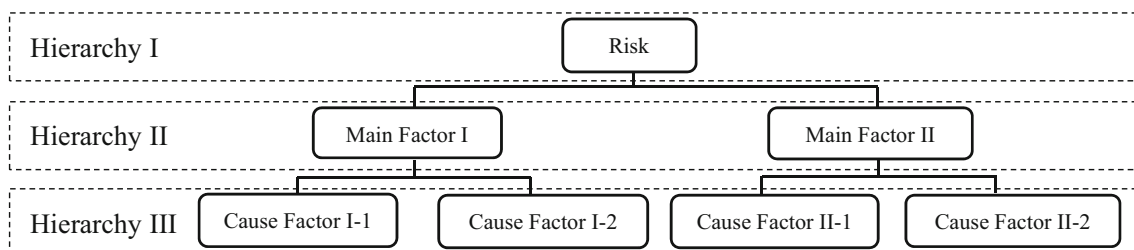


Fig. 2 The modified AHP structure (modification from Saaty 2008)

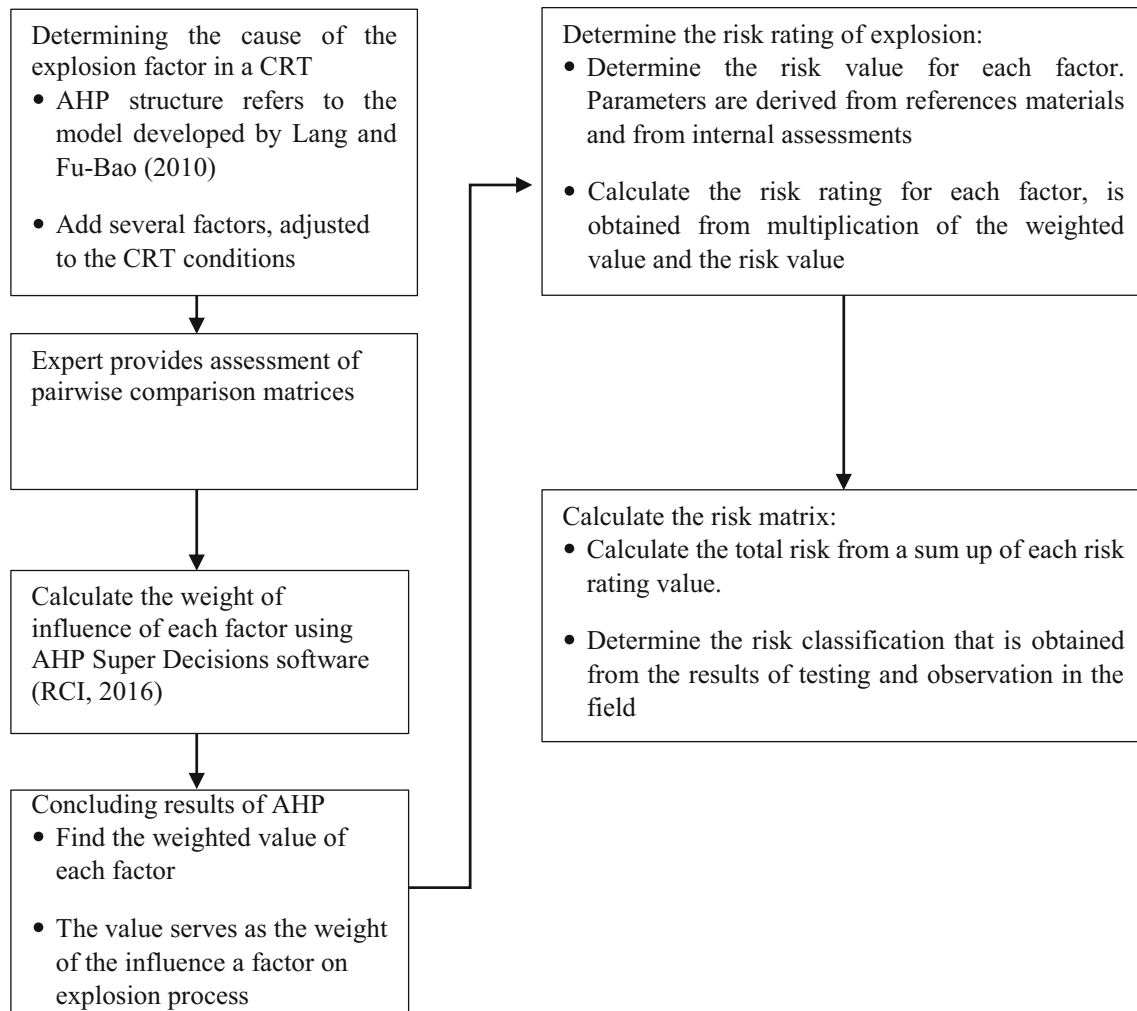


Fig. 3 Research stages to develop modified AHP model

(d) *Calculation of risk matrix, include:*

- Calculate the total risk from a sum up of each risk rating value.
- Determine the risk classification that is obtained from the results of testing and observation in the field

In modified AHP model, the highest hierarchy (Hierarchy I) is the event of explosion. The second hierarchy is the factors causing the explosion. The second hierarchy is the factors causing the explosion. The hierarchy consists of six factors: fuel, oxygen, ignition, confinement, dispersion and monitoring system. These six factors are divided into 52 cause factors that are expressed in the lower hierarchy, as shown in Fig. 4.

Based on the assessment of pairwise comparison matrices and analysis by Super Decision, the weighted value results are shown in Table 2. The results show that spontaneous combustion factor is the largest contributor to

explosion in CRT. The first ten factors are the dominant factors that contribute to 55.86% (Mechanical Ventilation, Monitoring System, and Coal spontaneous combustion) of the explosions risk. The weighted value for each factor have to combined with values of the factor that represent individual risk of each factor, and the summation of all factors is then analyzed to estimate the CRT explosion risk potential.

The risk value (RV) is a semi-quantitative value that combine the quantitative value from technical data and qualitative value from expert judgement based on site conditions, which then RV will be applied to represent individual parameter risk of CRT explosion. The risk rating of each factors (RR_i) then is calculated using Eq. (1), which is multiplication of “100” as a constant value, weighted value for each factor (C_i) as described in Table 2, and risk values for each factor (RV_i). The formula used to determine risk rating for each factor is as follows:

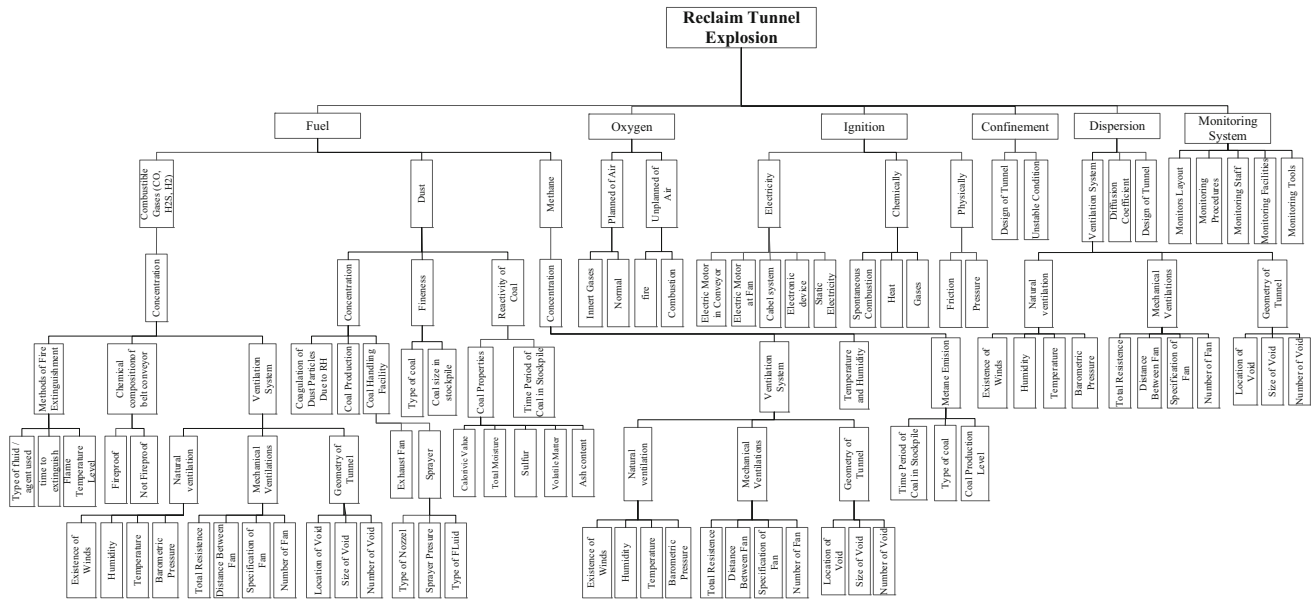


Fig. 4 Modified AHP structure for explosion root cause in CRT

$$RR_i = 100 \times C_i \times RV_i \tag{1}$$

where a constant value of 100 is used to create a sufficient range for risk classification, RR_i = Risk rating for factor i , C_i = Weighted value for factor i , RV_i = risk value for factor i , i = code of factor.

After calculating RR for each factor, then the RR of all factors must be summed to get the total risk rating (TRR), as follows:

$$TRR = \sum_i^n RR \tag{2}$$

where RR = Risk rating, TRR = Total risk rating, i = code of factor “ i ”, n = code of factor “ n ”

The authors classify the level of TRR into five groups/classes that is from Class 1 to Class 5 (with interval of TRR is 100 point), where Class 5 is classified as “very high risk” and Class 1 is classified as “very low risk”, as shown in Table 3.

The ventilation system parameters are a cause factor that is mainly related with the concentration of methane gas and coal dust (as the main fuel components) in CRT explosions. To investigate this factor, the laboratory physical model (Figs. 5, 6) has been developed at the Center of Research Excellence in Underground Mining and Mine Safety of the Institut Teknologi Bandung, Indonesia (CoRE UMMS). The experiments were carried out to investigate the fan system configuration that would optimally dilute and remove dangerous gases and coal dust in CRT by measuring the concentration–time curves of methane gas that injected in CRT’s physical model.

The physical model was constructed of acrylic (methyl methacrylate monomer) 5 mm in thickness, and has a cross-sectional area of 40 cm × 40 cm, and a length of 6 m, which is a scaled down of the real CRT at mine site (1:10 of cross-sectional area and 1:35 of length). The model has two rectangular obstructions that represented coal feeders in CRT. Four MQ4 sensors have been placed at the top of the physical model in positions from upstream to downstream (two sensors after the upstream coal feeder and the other two sensors located after the downstream feeder), thus the methane concentrations from upstream to downstream of airflow could be detected by the sensor using a data logger and computer. Ultra High Purity (UHP) methane gas was injected into the physical model at 0.1; 0.2; 0.3; 0.4 and 0.5 L per minute. Two axial fans (Rayden Fan, 12 cm × 12 cm × 3.8 cm; AC 220/240 V 50/60 Hz; 0.14 A; 0.033–0.055 m³/s) were used at the upstream portal of the physical model, with the purpose of blowing fresh air from outside of the tunnel. Several axial fans with diffuser outlets (Rayden Fan, 9.2 cm × 9.2 cm × 2.5 cm; AC 220/240 V; 0.08 A; 0.0245 m³/s) were placed in the physical model to simulate jet fans inside the CRT. A Kestrel 2000 thermo-anemometer (dimension: 122 mm × 42 mm × 20 mm, velocity range of 0.4–40 m/s, and accuracy of ± 0.1 m/s) was used to detect air velocity in the inlet at inside and outlet of the physical model. The air velocity has been measured by using fixed-point measurement method with 9 (nine) segments on the cross-sectional area of the CRT physical model.

The results of laboratory experiments show that a double-fan-path with straight line fan positions provides better dilution to reduce the concentration of methane gas, in

Table 2 Parameters of each factor from AHP

No.	Factor	Description	Weighted value	References	Risk value						
					Range	Value					
1	Spontaneous combustion	Propensity for spontaneous coal combustion is determined using R_{70} parameter (Humphreys et al. 1981; Ren et al. 1999 in Beamish et al. 2000, 2001; Beamish and Hamilton 2005; NSW Guidelines 2011) or Liability Index (LI) (Feng et al. 1973, in Sensogut and Cinar 2006) to get initial risk value (1–3) For the next step, the value has to be checked with coal spontaneous conditions in the field (that is in the stockpile). If conditions for coal spontaneous combustion are present or tend to be present, then risk value should be increased to or 5	0.0990	R_{70} laboratory test of coal self-heating rate in adiabatic conditions ($^{\circ}\text{C h}^{-1}$) (Humphreys et al. 1981; Ren et al. 1999 in Beamish et al. 2000, 2001; Beamish and Hamilton 2005; Beamish and Arisoy 2008; NSW Guidelines 2011) Liability Index (LI) (Feng et al. 1973 in Sensogut and Cinar 2006) is an index showing the propensity for coal spontaneous combustion. LI is based on the average heating rate of coal between 110 and 220 $^{\circ}\text{C}$; and crossing point of temperature of coal, that is the temperature at which the temperature of the coal and the furnace/bath coincides Coal spontaneous combustion in the field (that is in the stockpile)	$R_{70} > 0.8$ (highly prone to spontaneous combustion)	3					
					$0.5 \leq R_{70} \leq 0.8$ (medium risk)	2					
					$R_{70} < 0.5$ (low risk)	1					
					$LI \geq 7.5$	3					
					$2.5 \leq LI < 7.5$	2					
					$0 \leq LI < 2.5$	1					
					Coal spontaneous combustion is present	5					
					Coal spontaneous combustion tend to present	4					
					2	Normal air	Oxygen concentration in air	0.0825	Concentration of O_2 plotted on Coward explicability diagram (Coward and Jones 1952)	$5\% \leq \text{O}_2 \leq 21\%$	5
										$4\% \leq \text{O}_2 \leq 5\%$	4
$3\% \leq \text{O}_2 \leq 4\%$	3										
$1\% \leq \text{O}_2 \leq 3\%$	2										
Less than 1% O_2	1										
3	Total resistance	Conditions of mechanical ventilation system in CRT. The mechanical ventilation can provide fresh air to dilute dangerous gases and dusts, then remove them from the tunnel	0.0569	Fresh air quantity and air velocity (McPherson 2012; Juanzah 2017) based on site condition	Average velocity (v) less than 0.5 m/s	5					
					$0.5 \leq v < 0.75$ m/s	4					
4	Specifications of fan	Distance between fan	0.0538	Based on site assessment	$0.75 \leq v < 1.0$ m/s	3					
					$1.0 \leq v < 1.5$ m/s	2					
5	Number of fan	Monitoring facilities	0.0522	Based on site assessment	$1.5 \text{ m/s} \leq v$	1					
					Very insufficient	5					
6	Monitoring facilities	Conditions of monitoring facilities that monitor dangerous gas concentration (methane, CO , CO_2), smoke, and temperature that indicate fire and explosion in CRT	0.0468	Based on site assessment	Insufficient	4					
					Sufficient	3					
7	Monitoring facilities	Whether the tunnel has a complete monitoring procedures for explosion aspect	0.0262	Based on site assessment	Complete	2					
					Very complete	1					
8	Monitoring procedures	Whether the tunnel has a complete monitoring procedures for explosion aspect	0.0262	Based on site assessment	Very complete	5					
					Insufficient	4					
9	Monitoring procedures	Whether the tunnel has a complete monitoring procedures for explosion aspect	0.0262	Based on site assessment	Sufficient	3					
					Complete	2					
10	Monitoring procedures	Whether the tunnel has a complete monitoring procedures for explosion aspect	0.0262	Based on site assessment	Very complete	1					
					Very complete	1					

Table 2 continued

No.	Factor	Description	Weighted value	References	Risk value	
					Range	Value
9	Unstable conditions around the tunnel	Explanation of the tunnel stability conditions	0.0378	Based on site assessment	Very unstable	5
					Unstable	4
					Stable	3
					Stable to very stable	2
					Very stable	1
10	Coal properties	The influence of coal properties on methane content	0.0365	The US Bureau of Mines estimated the methane content of a coal, which depends primarily upon rank and pressure (Kim 1977)	Antracite	5
					Low volatile bituminous	4
					High volatile bituminous	3
					Subbituminous	2
					Lignite	1
11	Coal production level (related to methane concentration in CRT)	The influence of coal production on methane concentration	0.0355	Fresh air quantity (McPherson 2012) and based on site condition	More than 30 kton/day	5
					20–30 kton/day	4
					10–20 kton/day	3
					5–10 kton/day	2
					Less than 5 kton/day	1
12	Time period of coal in stockpile	The influence of the amount of time the coal is in the stockpile on spontaneous combustion and reactivity of coal	0.0222	Heat map of stockpile (Pratama 2014; Aristien and Widodo 2015) and based on site condition	More than 3 weeks	5
					3 weeks	4
					2 weeks	3
					1 week	2
					Less than 1 day	1
13	Humidity	The influence of humidity on natural ventilation	0.0315	Based on site assessment, obtained from ventilation survey of Relative Humidity (RH)	$RH \geq 95\%$	5
					$85\% \leq RH < 95\%$	4
					$80\% \leq RH < 85\%$	3
					$70\% \leq RH < 80\%$	2
					$70\% \leq RH$	1
14	Addition of inert gases	The influence of inert gases on fire countermeasures	0.0275	Based on site assessment, obtained from ventilation survey	Not	5
					Has been planned but not ready	4
					Ready to be used but system has not been developed	3
					Ready to be used and system has been developed	2
					Ready to be used and system has been developed in real time	1
15	Gases	The presence of combustible gas in the tunnel	0.0248	Based on site assessment, obtained from ventilation survey	Extremely significant effect	5
					Very significant effect	4
					Significant effect	3
					Insignificant effect	2
					No effect	1
16	Fire	The presence of fire potential in the tunnel; how much a fire would decrease oxygen level in CRT	0.0206	Based on site assessment, obtained from ventilation survey	Extremely significant effect	5
					Very significant effect	4
					Significant effect	3
					Insignificant effect	2
					No effect	1

Table 2 continued

No.	Factor	Description	Weighted value	References	Risk value	
					Range	Value
17	Coal production level (related to coal dust concentration in CRT)	The influence of coal production on dust concentration	0.0199	Effect of coal production level on the concentration of coal dust in the air (McPherson 2012)	More than 30 kton/day	5
					20–30 kton/day	4
					10–20 kton/day	3
					5–10 kton/day	2
					Less than 5 kton/day	1
18	Design of tunnel	The influence of tunnel design on confinement and dispersion factor	0.0189	Based on site assessment	Very disorganized	5
					Disorganized	4
					Fairly organized	3
					Fairly to very organized	2
					Very organized	1
19	Total moisture	Total moisture of coal	0.0187	Total moisture (TM) affects on propensity of coal spontaneous combustion (Beamish and Hamilton 2005) and based on site condition	$TM \leq 2\%$	5
					$2\% < TM \leq 6\%$	4
					$6\% < TM \leq 8\%$	3
					$8\% < TM \leq 10\%$	2
					$TM > 10\%$	1
20	Cable systems	Condition of cable systems in the tunnel, as a potential source of ignition	0.0182	Based on site assessment	Very disorganized	5
					Disorganized	4
					Fairly organized	3
					Fairly to very organized	2
					Very organized	1
21	Size of void	The effect of void size on methane trapping	0.0174	Size of void affect to methane distribution in tunnel (Pratama 2016; Kusuma 2016b; Juanzah 2017) and based on site condition	Extremely significant effect	5
					Very significant effect	4
					Significant effect	3
					Insignificant effect	2
					No effect	1
22	Friction	Sparks by friction, as a potential of heat source for explosion	0.0165	Based on site assessment	Very often	5
					Often	4
					Occasionally	3
					Rarely	2
					Very rarely	1
23	Monitors layout	Whether the tunnels has a good monitor layout	0.0139	Based on site assessment	Very insufficient	5
					Insufficient	4
					Sufficient	3
					Good	2
					Very good	1
24	Monitoring staff	Whether adequate personnel are available to monitor the system	0.0131	Based on site assessment	Very inadequate	5
					Inadequate	4
					Adequate	3
					Adequate to very adequate	2
					Very adequate	1

Table 2 continued

No.	Factor	Description	Weighted value	References	Risk value	
					Range	Value
25	Volatile matter	Volatile matter of coal	0.0128	According to Uludag (2007) in Nalbandian (2010), it is generally agreed that spontaneous combustion is a rank-related phenomenon. As Volatile Matter (VM) and Oxygen content increase (indicative of decrease in rank), the rate of self-heating is also raised	VM has very high contribution to R ₇₀ self-heating rate	5
					VM has high contribution to R ₇₀ self-heating rate	4
					VM has contribution to R ₇₀ self-heating rate	3
					VM has less contribution to R ₇₀ self-heating rate	2
					VM has no contribution to R ₇₀ self-heating rate	1
26	Fireproof	Is fireproof material present in the tunnels to avoid spreading of fire through the materials	0.0128	In general, three types of materials are used for mine conveyor belts, namely, styrene-butadiene rubber, neoprene, and polyvinylchloride (McPherson 2012)	Very high risk	5
					High risk	4
					Medium risk	3
					Low risk	2
					Very low risk	1
27	Heat	How the presence of heat condition (an ignition) in the tunnel	0.0124	Heat contributed to ignition: air temperature, heat from increasing of temperature on equipment surfaces (Iqbal 2016; Kusuma 2016a) and based on site condition	Very high risk	5
					High risk	4
					Medium risk	3
					Low risk	2
					Very low risk	1
28	Number of void	Whether there is a void that became a methane trapping	0.0096	Methane distribution in tunnel (Pratama 2016; Kusuma 2016b; Juanzah 2017) and based on site condition	Very high risk	5
					High risk	4
					Medium risk	3
					Low risk	2
					Very low risk	1
29	Electric motors on conveyor belt	Conveyor belt can produce sparks from its electrical motor	0.0091	Based on site assessment	Very often	5
					Often	4
					Occasionally	3
					Rarely	2
					Very rarely	1
30	Dust particle coagulation due to RH	How the effects of relative humidity on the coagulation of coal dust	0.0089	Based on site assessment	Very high risk	5
					High risk	4
					Medium risk	3
					Low risk	2
					Very low risk	1
31	Monitoring tools	The tunnel have a complete monitoring Tools	0.0069	Based on site assessment	Very less	5
					Less	4
					Sufficient	3
					Complete	2
					Very complete	1

Table 2 continued

No.	Factor	Description	Weighted value	References	Risk value	
					Range	Value
32	Combustion	Potential for combustion in the tunnel	0.0069	Based on site assessment	Combustion exist	5
					Combustion has a potential to exist	4
					Combustion has a potential to exist when triggered by other factor	3
					Very small combustion potential	2
					Combustion does not exist	1
33	Ash content	Ash content of coal	0.0064	Ash content effects on propensity of coal spontaneous combustion (Beamish and Hamilton 2005)	Sub-bituminous: ash (db) \leq 5%;	5
					Sub-bituminous: 5% < Ash (db) \leq 30%;	4
					Sub-bituminous: 30% < Ash (db) \leq 40%;	3
					Medium-high volatile bituminous: 5% < ash (db) \leq 25%	2
					Medium-high volatile bituminous: ash (db) \geq 25%	1
34	Coal size in stockpile	The influence of the fineness of the coal in the stockpile	0.0061	Based on site assessment	Very high risk	5
					High risk	4
					Medium risk	3
					Low risk	2
					Very low risk	1
35	Ambient temperature	The influence of ambient temperature on natural ventilation	0.0050	Based on site assessment, obtained from ventilation survey of dry bulb temperature (T_d)	$T_d \geq 33$ °C	5
					30 °C $\leq T_d < 33$ °C	4
					27 °C $\leq T_d < 30$ °C	3
					24 °C $\leq T_d < 27$ °C	2
					24 °C $\leq T_d$	1
36	Electric motors in fan	Sparks from the fan electric motors are a potential heat source for explosion	0.0049	Based on site assessment	Very high risk	5
					High risk	4
					Medium risk	3
					Low risk	2
					Very low risk	1
37	Flame temperature level	The influence of flame temperature level on the emergence of combustible gas	0.0047	Based on site assessment	No effect	5
					Insignificant effect	4
					Significant effect	3
					Very significant effect	2
					Extremely significant effect	1
38	Time to extinction	The influence of time to extinction of fire on the emergence of combustible gas (such as CO)	0.0047	Based on site assessment	Extremely significant effect	5
					Very significant effect	4
					Significant effect	3
					Insignificant effect	2
					No effect	1

Table 2 continued

No.	Factor	Description	Weighted value	References	Risk value	
					Range	Value
39	Type of agent (used in fire extinguishment)	The influence of type of agent on the emergence of flammable gas	0.0047	Based on site assessment	Extremely significant effect	5
					Very significant effect	4
					Significant effect	3
					Insignificant effect	2
					No effect	1
40	Existence of winds (Wind speed, WS)	The influence of winds on natural ventilation	0.0047	Based on site assessment, obtained from ventilation survey	$WS \leq 0.2$ m/s	5
					$0.2 \text{ m/s} < WS \leq 1.5$ m/s	4
					$1.5 \text{ m/s} < WS \leq 3.3$ m/s	3
					$3.3 \text{ m/s} < WS \leq 5.4$ m/s	2
					$5.4 \text{ m/s} < WS \leq 10$ m/s	1
41	Barometric pressure (BP)	The influence of barometric pressure on natural ventilation	0.0045	Based on site assessment, obtained from ventilation survey	BP difference between inlet and outlet about 0 Pa	5
					$0 \text{ Pa} < BP \leq 5$ Pa	4
					$5 \text{ Pa} < BP \leq 15$ Pa	3
					$15 \text{ Pa} < BP \leq 25$ Pa	2
					BP difference between inlet and outlet about 25 Pa	1
42	Pressure	Sparks can come from and are a potential heat source for explosion	0.0041	Based on site assessment	Very often	5
					Often	4
					Occasionally	3
					Rarely	2
					Very Rarely	1
43	Sulphur	Sulphur content of coal	0.0038	Based on site assessment, Nalbandian (2010)	Total sulphur (TS) > 2% (dominated by pyritic sulphur)	5
					$1.5\% < TS \leq 2\%$	4
					$1.0\% < TS \leq 1.5\%$	3
					$0.1\% < TS \leq 1\%$	2
					$TS \leq 0.1\%$	1
44	Static electricity	Sparks can come from static electricity and are a potential heat source for explosion	0.0032	Based on site assessment	Very often	5
					Often	4
					Occasionally	3
					Rarely	2
					Very rarely	1
45	Position of void	The effect of void position in the CRT on methane trapping	0.0030	Methane distribution in tunnels (Pratama 2016; Kusuma 2016b; Juanzah 2017) based on site condition	Extremely significant effect	5
					Very significant effect	4
					Significant effect	3
					Insignificant effect	2
					No effect	1
46	Exhaust fan	Whether the exhaust fan are properly functioning to reduce dust	0.0022	Based on site assessment	Not functioning	5
					Few functioning	4
					Sufficient functioning	3
					Sufficient—fully functioning	2
					Fully functioning	1

Table 2 continued

No.	Factor	Description	Weighted value	References	Risk value	
					Range	Value
47	Electronic devices	Incendiary sparks produced by electronic devices	0.0021	Based on site assessment	Very often	5
					Often	4
					Occasionally	3
					Rarely	2
					Very rarely	1
48	Type of fluid (used in dust spraying)	The effects of type of fluid used for dust spraying	0.0017	Based on site assessment	Extremely significant effect	5
					Very significant effect	4
					Significant effect	3
					Insignificant effect	2
					No effect	1
49	Non fireproof	The effect of non-fireproof composition on flammable gases produced	0.0014	In general, three types of materials are used for mine conveyor belts, namely, styrene-butadiene rubber (SBR), neoprene (NP) and polyvinylchloride (PVC) (McPherson 2012)	Extremely significant effect	5
					Very significant effect	4
					Significant effect	3
					Insignificant effect	2
					No effect	1
50	Spraying pressure	The effects of spraying pressure on dust spraying	0.0004	Based on site assessment	Extremely significant effect	5
					Very significant effect	4
					Significant effect	3
					Insignificant effect	2
					No effect	1
51	Type of nozzle	The effects of type of nozzle on dust spraying	0.0002	Based on site assessment	Extremely significant effect	5
					Very significant effect	4
					Significant effect	3
					Insignificant effect	2
					No effect	1
52	Diffusion coefficient	The effectiveness of dilution of methane	0.0046	Methane gas diffusion coefficient related with ventilation condition in CRT (Juanzah 2017) and based on site condition	Very small diffusion coefficient (dilution is very ineffective)	5
					Small diffusion coefficient (dilution is ineffective)	4
					Enough diffusion coefficient (dilution is good)	3
					High diffusion coefficient (dilution is effective)	2
					Ideal diffusion coefficient (dilution is very effective)	1

Table 3 CRT explosion risk classification based on total risk rating

Class	Total risk rating	Risk classification
1	$0 \leq TRR < 100$	Very low
2	$100 \leq TRR < 200$	Low
3	$200 \leq TRR < 300$	Medium
4	$300 \leq TRR < 400$	High
5	$TRR \geq 400$	Very high

comparison to the double-fan-path with zigzag fan positions, and single-fan-path configuration. This is shown by the average air velocity measured inside and in the outlet of the tunnel: 0.70 m/s for a single-fan-path; 0.77 m/s for a double-fan-path with zigzag fan positions; and 1.01 m/s for a double-fan-path with straight line fan positions, as shown in Fig. 7. Relatively higher air velocity is more effective at reducing the methane gas concentration inside the CRT than lower air velocity.

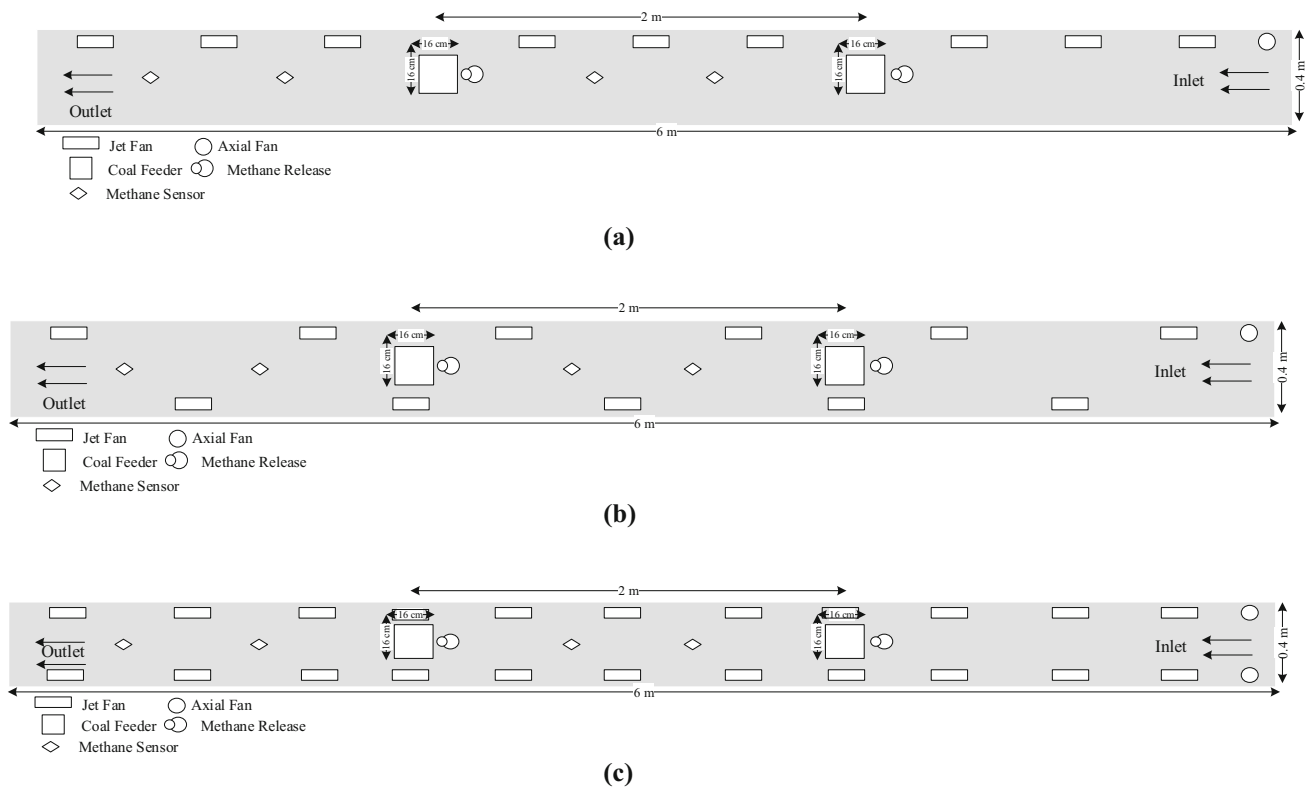


Fig. 5 Schematic side view of CRT physical model with differences in jet fan configurations. **a** Single-fan-path configurations (plan view). **b** Double-fan-path with zigzag fan positions (plan view). **c** Double-fan-path with straight line fan positions (plan view)



Fig. 6 Laboratory physical model of CRT

The indication of methane dilution in CRT was represented by the effective dispersion coefficient (E), that the bigger dispersion coefficient, the lower concentration of methane gas at the outlet, and vice versa. The methane dispersion coefficients in the CRT laboratory physical model which were estimated by concentration–time matching curves: $0.078\text{--}0.089\text{ m}^2/\text{s}$ for a single-fan-path,

$0.089\text{--}0.094\text{ m}^2/\text{s}$ for a double-fan-path with zigzag fan, and $0.110\text{--}0.122\text{ m}^2/\text{s}$ for a double-fan-path with straight line fan positions (details are shown in Table 4). These result are in good agreement with the field measurement assessment results, that were represented indirectly by fine coal dust concentrations assessed in real CRT conditions.

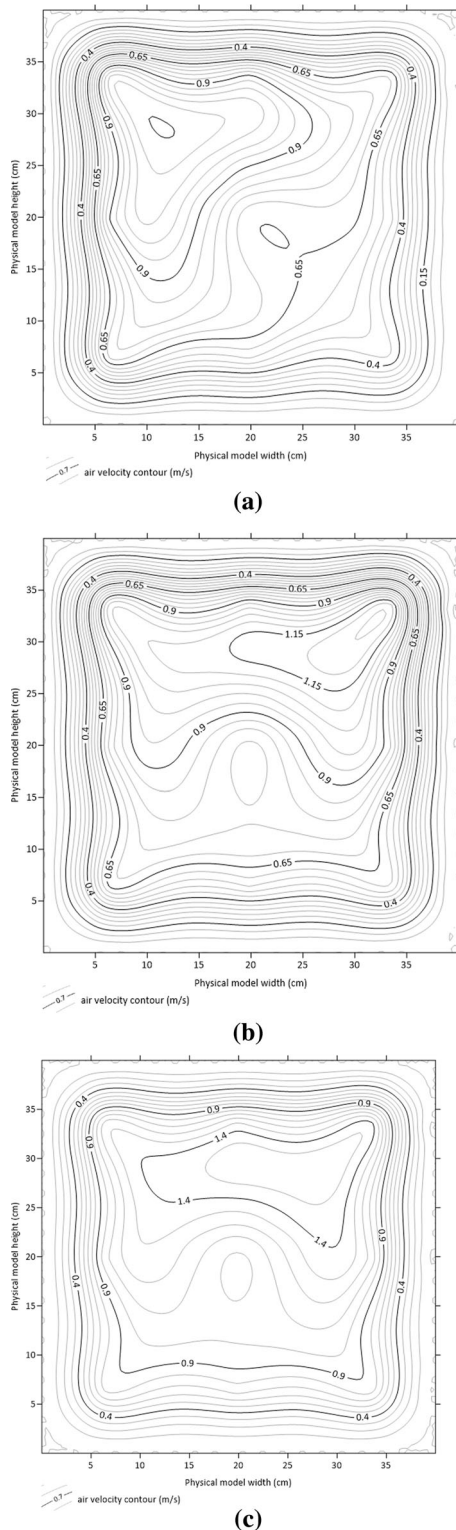


Fig. 7 Average air velocity measured in the CRT physical model. **a** Single-fan-path configurations. **b** Double-fan-path with zigzag fan positions. **c** Double-fan-path with straight line fan positions

Table 4 Dispersion coefficient (E) of methane gas-air in CRT Physical model

Jet fan configuration	Dispersion coefficient, E (m^2/s)				
	0.1 L/min	0.2 L/min	0.3 L/min	0.4 L/min	0.5 L/min
Single	0.087	0.078	0.089	0.087	0.087
Zig-zag	0.094	0.089	0.091	0.092	0.089
Double	0.120	0.122	0.110	0.119	0.118

2 Case study

To apply the risk assessment methods that have been developed, assessments were conducted in two CRTs in Indonesia, namely the CRT in Mine A and the CRT in Mine B. The type of coal and CRT dimensions are similar between these two CRTs. However, the ventilation conditions and coal stockpile conditions (stockpile height and storage time) are different; specifically, Mine A has more unfavorable conditions related to explosion risk than Mine B.

Significant data have been collected and various measurements have been carried out to assess the explosion risk of CRT. Field measurement activities were conducted: temperature measurements on the coal stockpiles to evaluate the coal spontaneous combustion factor, measurement of coal dust concentration and air velocity inside the CRT tunnels to evaluate the effectiveness of ventilation system for reducing coal dust concentration in the tunnels (Figs. 8, 9 and 10). The measurements of temperature on the coal stockpile were conducted using APPA 51 device, with K-type thermocouple (measurement range of -50 to 1300 °C with resolution of 0.1 °C). Coal stockpile has

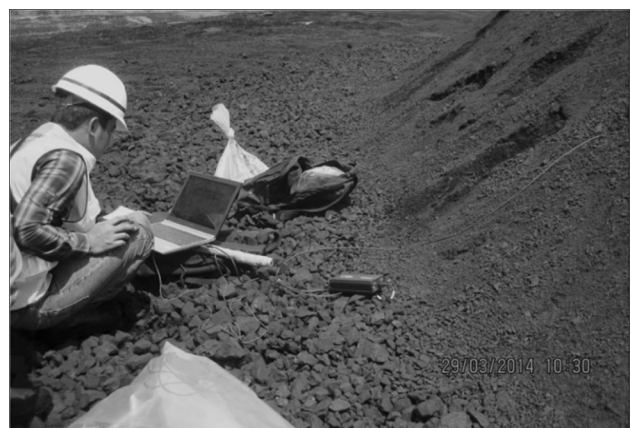


Fig. 8 Temperature measurement on coal stockpile located above the CRT



Fig. 9 Dust sampling inside the CRT

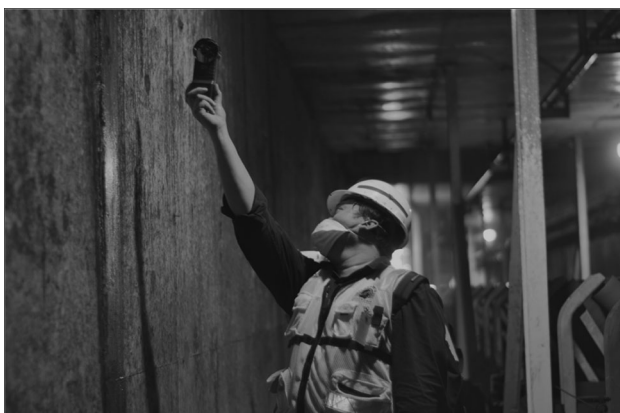


Fig. 10 Air velocity measurement inside the CRT

variation of The measurements of air velocity were conducted using vane anemometer (Dwyer 8904 Rotary Vane Thermo- Anemometer, velocity range of 0.4–30 m/s, and accuracy of ± 0.2 m/s). The coal dust conditions were estimated by visual observation. The field measurement results are described in Table 5.

Table 5 Comparison of the field measurement results in Mine A and Mine B

No.	Parameters	Mine A	Mine B
1	Coal spontaneous combustion	Average temperature for coal stored for 1 day in stockpiles was 31.8 °C, and for coal stored for 21 days was 51.8 °C. There were also an indication of coal spontaneous combustion in Mine A stockpiles	Average temperature for coal stored for 1–3 days in stockpiles was 34.8 °C. The indication of coal spontaneous combustion in Mine B stockpiles have not found because the coal storing time is relatively short in comparison with Mine A
2	Ventilation system	Average velocity on the CRT was estimated: 0.5–0.75 m/s	Average velocity on the CRT was estimated: 1–1.5 m/s
3	Coal dust	There was an indication that coal dust cloud was established in Mine A. Improvement of the coal dust management will be needed to reduce the coal dust explosion risk	There was an indication that coal dust settled in floor, pipe and steel near the coal chute gate. Coal dust cloud have not found. That showed the ventilation system have been diluted the coal flying-dust. However, the heavier coal dust particle were settled down and need to be water sprayed and managed regularly

2.1 Explosion risk assessment of CRT in Mine A and Mine B using developed AHP method

The CRT in Mine A is 250 m in length and 4 m in width and height. In this CRT, there are some equipment such as a conveyor, coal valve, fan, and deluge system. Three jet fans (Type: Conexa JVF-550AX, 500 W, nozzle diameter: 250 mm, air flow: 0.69–0.97 m³/s) are used in the tunnels area, with distance between the fans of about 83 m. The CRT operates to transfer coal from the stockpile to a coal barge at the rate of 2000 tons of coal per hour. The CRT is in constant operation hence the cleaning of the CRT is difficult to conduct. Monitoring facilities are inadequate to check the concentration of methane and CO gas. The score for the risk assessment of the CRT in Mine A, as shown in Table 6, is 375.68, which is categorized as “High Risk”.

The CRT in Mine B has the same dimensions and equipment as the CRT in Mine A. However, there are 12 jet fan units installed, with the distance between the fans about 21 m. This CRT also operates to move coal from the stockpile to the coal barge at a rate of 2000 tons of coal per hour. The operation is not continuous, hence cleaning of the CRT is easily conducted. Monitoring facilities are inadequate to check the concentrations of methane and CO gas. The score for the risk assessment of the CRT in Mine B, as shown in Table 6 is 295.78, which is categorized as “Medium Risk”.

AHP risk assessment results shows a good agreement with the site assessment, in that the CRT in Mine A is relatively unfavourable for safety conditions compared to Mine B. The difference between the scores is 79.9, which is relatively large and shows clear differences, especially related to the effectiveness of the ventilation conditions and coal production condition, which create larger amounts of methane and coal dust in Mine A than in Mine B.

Table 6 Risk assessment results of CRT in Mine A and Mine B

No.	Factor	Weighted value	Mine A		Mine B	
			Value	Risk rating	Value	Risk rating
1	Spontaneous combustion	0.099	5	49.5	3	29.7
2	Normal air	0.0825	5	41.25	5	41.25
3	Total resistance	0.0569	4	85.76	2	42.88
4	Specifications of fan	0.0538				
5	Distance between fan	0.0522				
6	Number of fan	0.0515				
7	Monitoring facilities	0.0468	5	23.4	5	23.4
8	Monitoring procedures	0.0262	4	10.48	3	7.86
9	Unstable conditions around the tunnel	0.0378	2	7.56	2	7.56
10	Coal properties	0.0365	3	10.95	3	10.95
11	Coal production level (related to methane concentration in CRT)	0.0355	5	17.75	4	14.2
12	Time period of coal in stockpile	0.0222	4	8.88	3	6.66
13	Humidity	0.0315	2	6.3	2	6.3
14	Addition of inert gases	0.0275	2	5.5	2	5.5
15	Gases	0.0248	2	4.96	2	4.96
16	Fire	0.0206	4	8.24	4	8.24
17	Coal production level (related to coal dust concentration in CRT)	0.0199	5	9.95	4	7.96
18	Design of tunnel	0.0189	2	3.78	2	3.78
19	Total moisture	0.0187	3	5.61	3	5.61
20	Cable systems	0.0182	2	3.64	2	3.64
21	Size of void	0.0174	4	6.96	4	6.96
22	Friction	0.0165	3	4.95	3	4.95
23	Monitors layout	0.0139	4	5.56	3	4.17
24	Monitoring staff	0.0131	4	5.24	3	3.93
25	Volatile matter	0.0128	4	5.12	4	5.12
26	Fireproof	0.0128	4	5.12	4	5.12
27	Heat	0.0124	3	3.72	3	3.72
28	Number of void	0.0096	4	3.84	4	3.84
29	Electric motors at conveyor belt	0.0091	3	2.73	3	2.73
30	Dust particle coagulation due to RH	0.0089	2	1.78	2	1.78
31	Monitoring tools	0.0069	4	2.76	4	2.76
32	Combustion	0.0069	5	3.45	1	0.69
33	Ash content	0.0064	4	2.56	4	2.56
34	Coal size in stockpile	0.0061	4	2.44	4	2.44
35	Ambient temperature	0.005	3	1.5	3	1.5
36	Electric motors at fan	0.0049	2	0.98	2	0.98
37	Flame temperature level	0.0047	2	0.94	2	0.94
38	Time to extinction	0.0047	2	0.94	2	0.94
39	Type of agent (used in fire extinguishment)	0.0047	2	0.94	2	0.94
40	Existence of winds	0.0047	2	0.94	2	0.94
41	Barometric pressure	0.0045	2	0.9	2	0.9
42	Pressure	0.0041	2	0.82	2	0.82
43	Sulfur	0.0038	4	1.52	4	1.52
44	Static electricity	0.0032	2	0.64	2	0.64
45	Position of void	0.003	4	1.2	4	1.2
46	Exhaust fan	0.0022	4	0.88	4	0.88
47	Electronic devices	0.0021	2	0.42	2	0.42

Table 6 continued

No.	Factor	Weighted value	Mine A		Mine B	
			Value	Risk rating	Value	Risk rating
48	Type of fluid (used in dust spraying)	0.0017	2	0.34	2	0.34
49	Non fireproof	0.0014	4	0.56	4	0.56
50	Spraying pressure	0.0004	2	0.08	2	0.08
51	Type of nozzle	0.0002	2	0.04	2	0.04
52	Diffusion coefficient	0.0046	5	2.3	2	0.92
	Total risk rating			375.68		295.78

Table 7 Risk assessment on CRT in Mine A and Mine B using checklist method

No.	Factors that affect CRT explosion	Site conditions		Explanation
		CRT in Mine A	CRT in Mine B	
1	<i>Fuel</i>			
1.1	Combustible gases	V	V	
1.2	Dust	V	V	Dust concentration in the CRT in Mine A is higher than that in Mine B
1.3	Methane	V	V	Methane concentration in the CRT in Mine A is higher than that in Mine B
2	<i>Oxygen</i>			
2.1	Planned of air	V	V	
2.2	Unplanned of air	V	V	
3	<i>Ignition</i>			
3.1	Electricity	V	V	
3.2	Chemically	V	V	
3.3	Physically	V	V	
4	<i>Confinement</i>			
4.1	Design of tunnel	V	V	
4.2	Unstable condition	V	V	
5	<i>Dispersion</i>			
5.1	Ventilation system	V	V	The ventilation system of the CRT in Mine B is more efficient than that in Mine A
5.2	Diffusion coefficient	V	V	
5.3	Design of tunnel	V	V	
6	<i>Monitoring system</i>			
6.1	Monitoring tools	V	V	
6.2	Monitoring facilities	V	V	Monitoring system in Mine B is more sufficient than that in Mine A
6.3	Monitoring staff	V	V	
6.4	Monitoring procedures	V	V	
6.5	Monitors layout	V	V	

2.2 Explosion risk assessment of CRT in Mine A and Mine B using checklist method

To assess the effectiveness of the AHP method, the checklist method was used to compare CRT conditions in Mine A and Mine B. The checklist method has been used in practice by engineers to observe the safety conditions of working areas such as CRTs. The checklist method is a

deductive technique derived from the risks encountered previously and provides a convenient means for management to rapidly identify possible risks by using either a series of questions or a list of topics to be considered (Merna and Al-Thani 2008).

Table 7 shows a risk assessment performed using the checklist method to assess the risk of explosion in CRT. The factors observed in the checklist referred to the

structure of AHP especially in the third hierarchy (Fig. 4), which can be directly observed in the CRT facility. From the analysis, the checklist shows that conditions in both CRTs have the same risk of the explosion. In addition, the CRT in Mine A is generally less safe than the CRT in Mine B, which can be distinguished qualitatively by professional judgement as shown in the explanation column in Table 7. The checklist method can identify the potential hazards and the degree of risk qualitatively, however, the method cannot identify important factors which have to be taken into account to reduce the explosion risk levels and that can be quantified in the developed AHP method.

3 Concluding remarks

The modified analytical hierarchy process (AHP) method can be applied to describe and explain quantitatively the factors which have caused explosion incidents in coal reclaim tunnels (CRT). We have listed 6 main factors (main criteria) and 52 sub-factors related to the incidents in CRT. Among all these factors, the most contributing factors on the occurrence of explosion in CRT are drawn as follows:

- (1) Mechanical Ventilation, consisting of total resistance, fan specifications, distance between fans and number of fans, with total weighted value of 0.2144;
- (2) Monitoring System, consisting of monitoring facilities, monitoring procedures, monitor layout, monitoring staff, and monitoring tools, with total weighted value of 0.1081; and
- (3) Coal Spontaneous Combustion, with weighted value of 0.0990.

These main contributing factors must be taken into account in order to minimize the CRT's risk of explosion.

The practice of these risk criteria to assess CRT explosion risk should be carefully investigated further to be certain for the risk classification, especially in determining "the cause factors", "the factor's weighted value", and "the interpretation of risk classification", that possibly very specific for each CRT area and situation. In the future, research must continue and develop these factors and their measurement, especially in re-assessing and quantifying all of the AHP factors, along with conducting assessments of several CRTs of different types and operational conditions.

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